

## **CLEAN VERSION OF SPECIFICATION**



600950-1010

- 1 -

PATENT APPLICATION

**BLOCK POLYMER PROCESSING FOR MESOSTRUCTURED INORGANIC  
OXIDE MATERIALS**

5

**CROSS-REFERENCE TO CO-PENDING APPLICATIONS**

10 This application is a continuation-in-part application of U.S. Application  
Serial No. 10/426,441 filed April 30, 2003, currently pending, which is a  
continuation of U.S. Non-Provisional Application No. 09/554,259 filed on  
December 11, 2000, now U.S. Patent Number 6,592,764 which claimed the  
benefit of PCT/US98/26201, filed December 9, 1998, and also claimed the  
benefit of U.S. Provisional Application Nos. 60/069,143, filed December 9, 1997,  
and 60/097,012, filed August 18, 1998.

15 This application claims the benefit of Provisional Patent Application No.  
60/434,032 filed December 17, 2002

## BACKGROUND OF THE INVENTION

Large pore size molecular sieves are in high demand for reactions or separations involving large molecules and have been sought after for several decades. Due to their low cost, ease of handling, and high resistance to photoinduced corrosion, many uses have been proposed for mesoporous metal oxide materials, such as  $\text{SiO}_2$ , particularly in the fields of catalysis, molecular separations, fuel cells, adsorbents, patterned-device development, optoelectronic devices, and chemical and biological sensors. One such application for these materials is the catalysis and separation of molecules that are too large to fit in the smaller 3-5 Å pores of crystalline molecular sieves, providing facile separation of biomolecules such as enzymes and/or proteins. Such technology would greatly speed processing of biological specimens, eliminating the need for time consuming ultracentrifugation procedures for separating proteins. Other applications include supported-enzyme biosensors with high selectivity and antigen expression capabilities. Another application, for mesoporous  $\text{TiO}_2$ , is photocatalytic water splitting, which is extremely important for environmentally friendly energy generation. There is also tremendous interest in using mesoporous  $\text{ZrO}_2$ ,  $\text{Si}_{1-x}\text{Al}_x\text{O}_y$ ,  $\text{Si}_{1-x}\text{Ti}_x\text{O}_y$  as acidic catalysts. Mesoporous  $\text{WO}_3$  can be used as the support for ruthenium, which currently holds the world record for photocatalytic conversion of  $\text{CH}_4$  to  $\text{CH}_3\text{OH}$  and  $\text{H}_2$ . Mesoporous materials with semiconducting frameworks, such as  $\text{SnO}_2$  and  $\text{WO}_3$ , can be also used in the construction of fuel cells.

Mesoporous materials in the form of monoliths and films have a broad variety of applications, particularly as thermally stable low dielectric coatings, non-linear optical media for optical computing and self-switching circuits, and as host matrices for electrically-active species (e.g. conducting and lasing polymers and light emitting diodes). Such materials are of vital interest to the semiconductor and communications industries for coating chips, as well as to

develop optical computing technology which will require optically transparent, thermally stable films as waveguides and optical switches.

These applications, however, are significantly hindered by the fact that,  
5 until this invention, mesoscopically ordered metal oxides could only be produced with pore sizes in the range (15~100 Å), and with relatively poor thermal stability. Many applications of mesoporous metal oxides require both mesoscopic ordering and framework crystallinity. However, these applications have been significantly hindered by the fact that, until this invention, mesoscopically ordered metal  
10 oxides generally have relative thin and fragile channel walls.

Since mesoporous molecular sieves, such as the M41S family of materials, were discovered in 1992, surfactant-templated synthetic procedures have been extended to include a wide variety of compositions and conditions for exploiting  
15 the structure-directing functions of electrostatic and hydrogen-bonding interactions associated with amphiphilic molecules. For example, MCM-41 materials prepared by use of cationic cetyltrimethylammonium surfactants commonly have  $d(100)$  spacings of about 40 Å with uniform pore sizes of 20-30 Å. Cosolvent organic molecules, such as trimethylbenzene (TMB), have been  
20 used to expand the pore size of MCM-41 up to 100 Å, but unfortunately the resulting products possess less resolved XRD diffraction patterns. This is particularly the case concerning materials with pore sizes near the high-end of this range (ca. 100 Å) for which a single broad diffraction peak is often observed. Pinnavaia and coworkers, *infra*, have used nonionic surfactants in neutral  
25 aqueous media ( $S^{00}I^0$  synthesis at pH=7) to synthesize worm-like disordered mesoporous silica with somewhat larger pore sizes of 20-58 Å (the nomenclature  $S^{00}I^0$  or  $S^{+}I^{-}$  are shorthand notations for describing mesophase synthesis conditions in which the nominal charges associated with the surfactant species S and inorganic species I are indicated). Extended thermal treatment during

synthesis gives expanded pore sizes up to 50 Å; see D. Khushalani, A. Kuperman, G. A. Ozin, *Adv. Mater.* 7, 842 (1995).

The preparation of films and monolithic silicates using acidic sol-gel processing methods is an active research field, and has been studied for several decades. Many studies have focused on creating a variety of hybrid organic-silicate materials, such as Wojcik and Klein's polyvinyl acetate toughening of TEOS monoliths (Wojcik, Klein; SPIE, *Passive Materials for Optical Elements II*, 2018, 160-166 (1993)) or Lebeau et al's organic-inorganic optical coatings (B. Lebeau, Brasselet, Zyss, C. Sanchez; *Chem Mater.*, 9, 1012-1020 (1997)). The majority of these studies use the organic phase to provide toughness or optical properties to the homogeneous (non-mesostructured) monolithic composite, and not as a structure-directing agent to produce mesoscopically ordered materials. Attard and coworkers have reported the creation of monoliths with ~40 Å pore size, which were synthesized with low molecular weight nonionic surfactants, but did not comment on their thermal stability or transparency; see G. S. Attard; J. C. Glyde; C. G. G61tner, C. G. *Nature* 378, 366 (1995). Dabadie et al. have produced mesoporous films with hexagonal or lamellar structure and pore sizes up to 34 Å using cationic surfactant species as structure-directing species; see Dabadie, Ayrat, Guizard, Cot, Lacan; *J. Mater Chem.*, 6, 1789-1794, (1996). However, large pore size (>50 Å) monoliths or films have not been reported, and, prior to our invention, the use of block copolymers as structure-directing agents has not been previously explored (after our invention, Templin et al. reported using amphiphilic block copolymers as the structure-directing agents, aluminosilicate mesostructures with large ordering lengths (>15 nm); see Templin, M., Franck, A., Chesne, A. D., Leist, H., Zhang, Y., Ulrich, R., Schädler, V., Wiesner, U. *Science* 278, 1795 (Dec. 5, 1997)). For an overview of advanced hybrid organic-silica composites, see Novak's review article, B. Novak; *Adv. Mater.*, 5, 422-433 (1993).

While the use of low-molecular weight surfactant species have produced mesostructurally ordered inorganic-organic composites, the resulting materials have been in the form of powders, thin films, or opaque monoliths. Extension of prior art surfactant templating procedures to the formation of nonsilica mesoporous oxides has met with only limited success, although these mesoporous metal oxides hold more promise in applications that involve electron transport and transfer or magnetic interactions. The following mesoporous inorganic oxides have been synthesized with small mesopore sizes (<4 nm) over the past few years:

- MnO<sub>2</sub> (Tian, Z., Tong, W., Wang, J., Duan, N., Krishnan, V. V., Suib, S. L. Science.
- 15 Al<sub>2</sub>O<sub>3</sub> (Bagshaw, S. A., Pinnavaia, T. J. Angew. Chem. Int. Ed. Engl. 35,1102 (1996)),
- TiO<sub>2</sub> (Antonelli, D. M., Ying, J. Y. Angew. Chem. Int. Ed. Engl. 34, 2014 (1995)),
- 20 Nb<sub>2</sub>O<sub>5</sub> (Antonelli, D. M., Ying, J. Y. Chem. Mater. 8, 874 (1996)),
- Ta<sub>2</sub>O<sub>5</sub> (Antonelli, D. M., Ying, J. Y. Chem. Mater. 8, 874 (1996)),
- ZrO<sub>2</sub> (Ciesla, U., Schacht, S., Stucky, G. D., Unger, K. K., Schuth, F. Angew. 25 Chem. Int. Ed. Engl. 35, 541 (1996)),
- HfO<sub>2</sub> (Liu, P., Liu, J., Sayari, A. Chem. Commun. 557 (1997)), and reduced Pt (Attard, G. S., Barlett P. N., Coleman N. R. B., Elliott J. M., Owen, J. R., Wang, J. H. Science, 278, 838 (1997)).

However these often have only thermally unstable mesostructures; see Ulagappan, N., Rao, C. N. R. Chem Commun. 1685 (1996), and Braun, P. V., Osenar, P., Stupp, S. I. Nature 380, 325 (1996).

5

Stucky and co-workers first extended the surfactant templating strategy to the synthesis of non-silica-based mesostructures, mainly metal oxides. Both positively and negatively charged surfactants were used in the presence of water-soluble inorganic species. It was found that the charge density matching  
10 between the surfactant and the inorganic species is very important for the formation of the organic-inorganic mesophases. Unfortunately, most of these non-silica mesostructures are not thermally stable. Pinnavaia and co-workers, supra, used nonionic surfactants to synthesize mesoporous alumina in neutral aqueous media and suggested that the wormhole-disordered mesoporous  
15 materials are assembled by hydrogen-bonding interaction of inorganic source with the surfactants. Antonelli and Ying, supra, prepared stable mesoporous titanium oxide with phosphorus in a framework using a modified sol-gel method, in which an organometallic precursor was hydrolyzed in the presence of alkylphosphate surfactants. Mesoporous zirconium oxides were prepared using  
20 long-chain quaternary ammonium, primary amines, and amphoteric cocamidopropyl betaine as the structure-directing agents; see Kim, A., Bruinsma, P., Chen, Y., Wang, L., Liu, J. Chem. Commun. 161 (1997); Pacheco, G., Zhao, E., Garcia, A., Sklyaro, A., Fripiat, J. J. Chem. Commun. 491 (1997); and Pacheco G., Zhao, E., Garcia, A., Sklyarov, A., Fripiat, J. J. J. Mater. Chem. 8,  
25 219 (1998).

A scaffolding process was also developed by Knowles et al. for the preparation of mesoporous  $\text{ZrO}_2$  (Knowles J. A., Hudson M. J. J. Chem. Soc., Chem. Commun. 2083 (1995)). Porous  $\text{HfO}_2$  has been synthesized using  
30 cetyltrimethylammonium bromide as the structure-directing agent; see Liu, P.,

Liu, J., Sayari, A. Chem. Commun. 557 (1997). Suib et al, supra, prepared mixed-valent semiconducting mesoporous manganese oxide with hexagonal and cubic structures and showed that these materials are catalytically very active. A ligand-assisted templating approach has been successfully used by Ying and  
5 co-workers, supra, for the synthesis of  $\text{Nb}_2\text{O}_5$  and  $\text{Ta}_2\text{O}_5$ . Covalent bond interaction between inorganic metal species and surfactant was utilized in this process to assemble the mesostructure. More recently, the surfactant templating strategy has been successfully extended to platinum by Attard, Barlett et al, supra.

10

For all these mesoporous non-silica oxides (except Pinnavaia's alumina work, in which copolymers were used to produce mesoporous alumina in neutral aqueous conditions), low-molecular-weight surfactants were used for the assembly of the mesostructures, and the resulting mesoporous materials  
15 generally had small mesopore sizes ( $<4$  nm), and thin (1-3 nm) and fragile frameworks. The channel walls of these mesoporous metal oxides were exclusively amorphous. There have been claims, based solely on the X-ray diffraction data, of mesoporous  $\text{ZrO}_2$  and  $\text{MnO}_2$  with crystalline frameworks; see Bagshaw and Pinnavaia, supra, and Huang, Y., McCarthy, T. J., Sachtler, W. M.  
20 Appl. Catal. A 148, 135 (1996). However, the reported X-ray diffraction patterns cannot exclude the possibility of phase separation between the mesoporous and crystalline materials, and therefore their evidence has been inconclusive. In addition, most of the syntheses were carried out in aqueous solution using metal alkoxides as inorganic precursors. The large proportion of water makes the  
25 hydrolysis and condensation of the reactive metal alkoxides and the subsequent mesostructure assembly extremely difficult to control.

For an overview of the non-silica mesoporous materials prior to this invention, see the Sayari and Liu review article, Sayari, A., Liu, P. Microporous  
30 Mater. 12, 149 (1997).



There has also been a need for porous inorganic materials with structure function on different length scales, for use in areas as diverse as large-molecule catalysis, biomolecule separation, the formation of semiconductor nanostructure, the development of medical implants and the morphogenesis of skeletal forms. The use of organic templates to control the structure of inorganic solid has proven very successful for designing porous materials with pore size ranging from angstroms to micrometers. For example, microporous aluminosilicate and aluminophosphate zeolite-type structures have been templated by organic molecules such as amines. Larger mesoporous (20~300 Å) materials have been obtained by using long-chain surfactant as structure-directing-agents. Recent reports illustrate that techniques such as surfactant emulsion or latex sphere templating have been used to create TiO<sub>2</sub>, ZrO<sub>2</sub>, SiO<sub>2</sub> structures with pore sizes ranging from 100 nm to 1 µm. Recently, Nakanishi used a process that combined phase separation, solvent exchange with sol-gel chemistry to prepare macroscopic silica structures with random meso and macro-porous structure; see K. Nakanishi, *J. Porous Mater.* 4, 67 (1997). Mann and coworkers used bacterial threads as the templates to synthesize ordered macrostructures in silica-surfactant mesophases; see Davis, S. L. Burkett, N. H. Mendelson, S. Mann, *Nature*, 385, 420 (1997).

Researchers have commented on the assembly of inorganic composites directed by protein or organic surfactants, but little on the effect of inorganic salts on the self-assembly of macroscopic silica or calcium carbonate structures with diatom, coral morphologies; see Davis, S. L. Burkett, N. H. Mendelson, S. Mann, *Nature*, 385, 420 (1997); A. M. Belcher, X. H. Wu, R. J. Christensen, P. K. Hansma, G. D. Stucky, *Nature*, 381, 56 (1996); and X. Y. Shen, A. M. Belcher, P. K. Hansma, G. D. Stucky, et al., *Bio. Chem.*, 272, 32472 (1997).

## BRIEF SUMMARY OF THE INVENTION

The present invention overcomes the drawbacks of prior efforts to prepare mesoporous materials and mesoscopic structures, and provides heretofore unattainable materials having very desirable and widely useful properties. These materials are prepared by using amphiphilic block copolymer species to act as structure-directing agents for metal oxides in self-assembling systems. Aqueous metal cations partition within the hydrophilic regions of the self-assembled system and associate with the hydrophilic polymer blocks. Subsequent polymerization of the metalate precursor species under strongly acidic conditions (e.g., pH 1), produces a densely cross linked, mesoscopically ordered metal oxide network. Mesoscopic order is imparted by cooperative self-assembly of the inorganic and amphiphilic species interacting across their hydrophilic-hydrophobic interface.

By slowly evaporating the aqueous solvent, the composite mesostructures can be formed into transparent, crack-free films, fibers or monoliths, having two-dimensional hexagonal ( $p6mm$ ), cubic ( $Im3m$ ), or lamellar mesostructures, depending on choice of the block copolymers. Heating to remove the organic template yields a mesoporous product that is thermally stable in boiling water. Calcination yields mesoporous structures with high BET surface areas. Unlike traditional sol-gel films and monoliths, the mesoscopically ordered silicates described in this invention can be produced with high degrees of order in the 100-200 Å length scale range, extremely large surface areas, low dielectric constants, large anisotropy, can incorporate very large host molecules, and yet still retain thermal stability and the transparency of fully densified silicates.

In accordance with a further embodiment of this invention, inorganic oxide membranes are synthesized with three-dimension (3-d) meso-macro structures using simultaneous multiphase assembly. Self-assembly of polymerized inorganic oxide species/amphiphilic block copolymers and the concurrent

assembly of highly ordered mesoporous inorganic oxide frameworks are carried out at the interface of a third phase consisting of droplet of strong electrolyte inorganic salts/water solution. The result is a 2-d or 3-d macroporous/mesoporous membranes which, with silica, are coral-like, and can be as large as 4 cmx4 cm with a thickness that can be adjusted between 10  $\mu\text{m}$  to several millimeters. The macropore size (0.5~100  $\mu\text{m}$ ) can be controlled by varying the electrolyte strength of inorganic salts and evaporation rate of the solvents. Higher electrolyte strength of inorganic salts and faster evaporation result in a thicker inorganic oxide a framework and larger macropore size. The mesoscopic structure, either 2-d hexagonal (p6mm, pore size 40~90 Å) or 3-d cubic array, can be controlled by amphiphilic block copolymer templates. The resulting membranes are thermally stable and have large surface areas up to 1000  $\text{m}^2/\text{g}$ , and pore volume up to 1.1  $\text{cm}^3/\text{g}$ . Most importantly, these meso-macroporous coral-like planes provide excellent access to the mesopore surfaces for catalytic, sorption, catalysis, separation, and sensor arrays, applications.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows the size of two prior art porous inorganic materials, Faujasite, and MCM-41.

5           FIG. 2 the size of SBA-15 prepared in accordance with this invention.

FIG. 3 shows scanning electron micrographs (SEM's) (a, b) of as-synthesized SBA-15 and transmission electron micrographs (TEM's) (c, d) with different orientations of calcined hexagonal mesoporous silica SBA-15  
10       prepared using the block copolymer PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub>.

FIG. 4 shows nitrogen adsorption-desorption isotherm plots (top) and pore size distribution curves (bottom) measured using the adsorption branch of the isotherm for calcined mesoporous silica SBA-15 prepared using the block  
15       copolymer PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub> (a, b) without and (c, d) with TMB as an organic additive.

FIG. 5 shows transmission electron micrographs with different pore sizes and silica wall thicknesses for calcined hexagonal mesoporous silica SBA-15  
20       prepared using the block copolymer PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub>. (a) pore size of 47 Å, silica wall thickness of 60 Å; (b) pore size of 89 Å, silica wall thickness of 30 Å; (c) pore size of 200 Å; (d) pore size of 260 Å.

FIG. 6 shows powder X-ray diffraction (XRD) patterns of as-synthesized  
25       and calcined mesoporous silica SBA-15.

FIG. 7 shows variation of the d(100) spacing (solid) and pore size (open) for mesoporous hexagonal SBA-15 calcined at 500° C. for 6 h in air (circles) and for mesoporous MCM-41 (squares) as functions of the TMB/amphiphile (copolymer or surfactant) ratio (g/g).

5

FIG. 8 shows  $^{29}\text{Si}$  MAS NMR spectra of as-synthesized silica-copolymer mesophase materials; (a) SBA-11 prepared by using Brij C<sub>16</sub> EO<sub>10</sub> surfactant; (b) SBA-15 prepared using PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub> block copolymer.

10

FIG. 9 shows thermogravimetric analysis (TGA) and differential thermal analysis (DTA) traces for the as-synthesized SBA-15 prepared by using the block copolymer PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub>.

FIG. 10 shows powder X-ray diffraction (XRD) patterns of (a), as-synthesized and, (b) calcined MCM-41 silica prepared using the cationic surfactant C<sub>16</sub> H<sub>33</sub> N(CH<sub>3</sub>)<sub>3</sub> Br; and (c), calcined MCM-41 after heating in boiling water for 6 h; Calcined SBA-15 (d, e) prepared by using the block copolymer PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub> after heating in boiling water for (d), 6 h; (e), 24 h.

20

FIG. 11 shows photographs of transparent SBA-15 silica-copolymer monoliths incorporating (a) 27 wt % and (b) 34 wt % of the PEO-PPO-PEO structure-directing copolymer Pluronic F127.

FIG. 12 shows a 200-keV TEM image of a 38 wt % SBA-15 silica-copolymer monolith prepared with Pluronic F127.

25

FIG. 13 shows (a) a photograph of a transparent 50- $\mu\text{m}$ -thick SBA-15 silicacopolymer film prepared with Pluronic P104. (b) an X-ray diffraction pattern of this film showing well resolved peaks that are indexable as (100), (110), (200), and (210) reflections associated with p6mm hexagonal symmetry in which the one-dimensional axes of the aggregates lie horizontally in the plane of the film.

FIG. 14 shows the predicted variation of optical dielectric constant and refractive index as a function of silica porosity.

FIG. 15 shows low-angle and wide-angle X-ray diffraction (XRD) patterns of (a, c), as-made zirconium/ $\text{EO}_{20}$   $\text{PO}_{70}$   $\text{EO}_{20}$  composite mesostructure and (b, d) calcined mesoporous  $\text{ZrO}_2$ . The XRD patterns were obtained with a Scintag PADX diffractometer using Cu Ka radiation.

FIG. 16 shows TEM micrographs of 2-dimensional hexagonal mesoporous  $\text{ZrO}_2$ . (a) and (b) are recorded along the [110] and [001] zone axes, respectively. Inset in (b) is the selected-area electron diffraction pattern obtained on the image area. The images were recorded with a 200 kV JEOL transmission electron microscope. All samples were calcined at 400° C. for 5 hr to remove the block copolymer surfactant species.

FIG. 17 shows TEM micrographs of 2-dimensional hexagonal mesoporous  $\text{TiO}_2$ . (a) and (b) are recorded along the [110] and [001] zone axes, respectively. Inset in (a) is the selected-area electron diffraction pattern obtained on the image area.

FIG. 18 shows TEM micrographs of 2-dimensional hexagonal mesoporous  $\text{SnO}_2$ . (a) and (b) are recorded along the [110] and [001] zone axes, respectively. Inset in (a) is selected-area electron diffraction pattern obtained on the image area.

FIG. 19 shows TEM micrographs of 2-dimensional hexagonal mesoporous  $\text{WO}_3$ . (a) and (b) are recorded along the  $[110]$  and  $[001]$  zone axes, respectively.

5

FIG. 20 shows TEM micrograph of 2-dimensional hexagonal mesoporous  $\text{Nb}_2\text{O}_5$ , recorded along the  $[001]$  zone axis. Inset is selected-area electron diffraction pattern obtained on the image area.

10 FIG. 21 shows TEM micrograph of 2-dimensional hexagonal mesoporous  $\text{Ta}_2\text{O}_5$  recorded along the  $[001]$  zone axis.

FIG. 22 shows TEM micrographs of disordered hexagonal mesoporous  $\text{Al}_2\text{O}_3$ .

15

FIG. 23 shows TEM micrograph of 2-dimensional hexagonal mesoporous  $\text{HfO}_2$  recorded along the  $[110]$  zone axis.

20 FIG. 24 shows TEM micrographs of 2-dimensional hexagonal mesoporous  $\text{SiTiO}_4$  recorded along the  $[001]$  zone axis.

FIG. 25 shows TEM micrographs of 2-dimensional hexagonal mesoporous  $\text{SiAlO}_{3.5}$ . (a) and (b) are recorded along the  $[110]$  and  $[001]$  zone axes, respectively.

25

FIG. 26 shows TEM micrograph of 2-dimensional hexagonal mesoporous  $\text{ZrTiO}_4$  recorded along the  $[001]$  zone axes.

FIG. 27 shows (a) Bright field TEM image of a thin slice of the mesoporous  $\text{TiO}_2$  sample. (b) Dark field image obtained on the same area of the same  $\text{TiO}_2$  sample. The bright spots in the image correspond to  $\text{TiO}_2$  nanocrystals.

5            FIG. 28 shows (a) Bright field TEM image of a thin slice of the mesoporous  $\text{ZrO}_2$  sample. (b) Dark field image obtained on the same area of the same  $\text{ZrO}_2$  sample. The bright spots in the image correspond to  $\text{ZrO}_2$  nanocrystals.

10            FIG. 29 shows nitrogen adsorption-desorption isotherms and pore size distribution plots (inset) calculated using BJH model from the adsorption branch isotherm for calcined  $\text{ZrO}_2$ . The isotherms were measured using a Micromeritics ASAP 2000 system. The samples were outgassed overnight at  $200^\circ \text{C}$ . before the analyses.

15            FIG. 30 shows nitrogen adsorption-desorption isotherms (a) and pore size distribution plots (b) calculated using BJH model from the adsorption branch isotherm for calcined  $\text{TiO}_2$ . Inset in (b) is the EDX spectrum obtained on the mesoporous samples.

20            FIG. 31 shows nitrogen adsorption-desorption isotherms and pore size distribution plots (lower inset) calculated using BJH model from the adsorption branch isotherm for calcined  $\text{Nb}_2\text{O}_5$ . EDX spectrum obtained on the mesoporous samples is shown in the upper inset.

25            FIG. 32 shows nitrogen adsorption-desorption isotherms and pore size distribution plots (lower inset) calculated using BJH model from the adsorption branch isotherm for calcined  $\text{Ta}_2\text{O}_5$ . EDX spectrum obtained on the mesoporous samples is shown in the upper inset.



FIG. 33 shows nitrogen adsorption-desorption isotherms and pore size distribution plots (inset) calculated using BJH model from the adsorption branch isotherm for calcined  $\text{Al}_2\text{O}_3$ .

5            FIG. 34 shows nitrogen adsorption-desorption isotherms and pore size distribution plots (inset) calculated using BJH model from the adsorption branch isotherm for calcined  $\text{WO}_3$ .

10           FIG. 35 shows nitrogen adsorption-desorption isotherms (a) and pore size distribution plots (b) calculated using BJH model from the adsorption branch isotherm for calcined  $\text{SiTiO}_4$ .

15           FIG. 36 shows nitrogen adsorption-desorption isotherms (a) and pore size distribution plots (b) calculated using BJH model from the adsorption branch isotherm for calcined  $\text{ZrTiO}_4$ .

20           FIG. 37 shows low-angle and wide-angle X-ray diffraction (XRD) patterns of (a, c), as-made titanium/ $\text{EO}_{20}\text{BO}_{75}$  composite cubic mesostructure and (b, d) calcined mesoporous  $\text{TiO}_2$ .

FIG. 38 shows TEM micrograph of cubic mesoporous  $\text{TiO}_2$ .

FIG. 39 shows TEM micrograph of cubic mesoporous  $\text{ZrO}_2$ .

25           FIG. 40 shows SEM image of calcined mesoporous  $\text{Al}_2\text{O}_3$  monolithic thick film. The image was recorded on JEOL 6300FX microscope.

FIG. 41 shows scanning electron micrographs (SEM) of (a, b) as-synthesized meso-macro silica membranes prepared by using P123 block copolymer ( $\text{EO}_{20} \text{PO}_{70} \text{EO}_{20}$ ) in NaCl solution after washing out NaCl with de-ionic water; (c), small macropore size silica membrane prepared by adding a little amount ethylene glycol in P123 block copolymer and NaCl solution; (d), silica membrane prepared with fast evaporation by using P123 block copolymer in NaCl solution. (e), silica membrane with grape vine morphology prepared with high concentration of NaCl; (f), inorganic salt NaCl crystals co-grown with the silica membrane.

10

FIG. 42 shows scanning electron micrographs (SEM) of (a, b, c) as-synthesized meso-macro silica membranes prepared by using P123 block copolymer ( $\text{EO}_{20} \text{PO}_{70} \text{EO}_{20}$ ) in (a), KCl; (b),  $\text{NH}_4 \text{Cl}$ ; (c),  $\text{NaNO}_3$  solution after washing out inorganic salts with de-ionic water. (d), large macropore size silica membrane prepared by using P65 block copolymer ( $\text{EO}_{26} \text{PO}_{39} \text{EO}_{26}$ ) in NaCl solution.

15

FIG. 43 shows SEM images of as-synthesized silica membranes after washed with water prepared by (a), using F127 block copolymer ( $\text{EO}_{106} \text{PO}_{70} \text{EO}_{106}$ ) in NaCl solution; (b, c, d), using P123 block copolymer in (b),  $\text{MgSO}_4$  solution; (c),  $\text{MgCl}_2$  solution; (d),  $\text{Na}_2 \text{SO}_4$  solution.

20

FIG. 44 shows powder X-ray diffraction (XRD) patterns of as-synthesized and calcined mesomacro silica membranes prepared using the amphiphilic polyoxyalkylene block copolymer (a), P123,  $\text{EO}_{20} \text{PO}_{70} \text{EO}_{20}$ ; (b), P103,  $\text{EO}_{17} \text{PO}_{85} \text{EO}_{17}$ ; (c), P65,  $\text{EO}_{26} \text{PO}_{39} \text{EO}_{26}$ . The chemical composition of the reaction mixture was 1 g copolymer: 0.017 mol NaCl: 0.01 mol TEOS:  $4 \times 10^{-5}$  mol HCl: 0.72 mol  $\text{H}_2 \text{O}$ : 0.33 mol EtOH.

25

FIG. 45 shows transmission electron micrographs (TEM) (a, b) of calcined silica membrane prepared using the block copolymer P 123 in NaCl solution recorded in (a), (100); (b), (110) zone axes; (c, d) of calcined silica membrane prepared by adding a little amount of ethylene glycol. TEM were taken on a 2000  
5 JEOL electron microscope operating at 200 kV.

FIG. 46 shows thermogravimetric analysis (TGA) and differential thermal analysis (DTA) traces for the as-synthesized meso-macroporous silica membranes prepared by using the block copolymer P123 ( $\text{EO}_{20} \text{PO}_{70} \text{EO}_{20}$ ) in  
10 NaCl solution, (top), after removal NaCl by washing with water; (bottom), without removal NaCl.

FIG. 47 shows nitrogen adsorption-desorption isotherm plots (a) and pore size distribution curves (b) for meso-macro silica membranes prepared using  
15 block copolymer P123 in NaCl solution without removal inorganic salt NaCl.

FIG. 48 shows nitrogen adsorption-desorption isotherm plots (top) and pore size distribution curves (bottom) for calcined meso-macro silica membranes prepared in NaCl solution using different block copolymers.  
20

FIG. 49 shows nitrogen adsorption-desorption isotherm plots (a) and pore size distribution curves (b) for calcined meso-macro silica membranes prepared using block copolymer F127 in NaCl solution.

25 FIG. 50 shows nitrogen adsorption-desorption isotherm plots (a) and pore size distribution curves (b) for calcined meso-macro silica membranes prepared using non-ionic oligomeric surfactant Brij 76 ( $\text{C}_{18} \text{H}_{37} \text{EO}_{10} \text{OH}$ ) in NaCl solution.

FIG. 51 shows SEM images of (a)-(d), as-synthesized silica membranes prepared by using P123 block copolymer in LiCl solution without washing recorded at different region, (a), top region; (b) middle region; (c), same (b) with large magnification; (d), bottom region of the membrane. (e)-(h) as-synthesized silica membranes prepared by using P123 block copolymer in NiSO<sub>4</sub> solution without washing recorded at different region, (a), top region; (b) same (a) with large magnification; (c) bottom region of the membrane; (d), disk-like NiSO<sub>4</sub> crystal.

FIG. 52 shows the change of the compositions of the reaction mixture functioned with evaporation time. Change of the concentration in liquid phase of ethanol (open circle); water (solid circle); LiCl (open square); SiO<sub>2</sub> (solid square); Intensity ratio for (100) diffraction of silica-block copolymer mesophase (open triangle) and for (110) diffraction of LiCl crystal (solid triangle) at d spacing of 3.59 Å determined by XRD in solid phase.

FIG. 53 shows a schematic diagram of the simple procedure used to prepare coral-like meso-macro silica membranes.

FIG. 54 shows progressively higher magnifications of a section of a meso-macro silica membrane made in accordance with this invention.

FIG. 55. Mesostructured 1-μm-thick, silica/EO<sub>106</sub>-PO<sub>70</sub>-EO<sub>106</sub> optical films under ambient and longwave irradiation. The absorption difference spectrum is for the spiropyran dye (1',3'-Dihydro-1',3',3'-trimethyl-6-nitrospiro[2H-1-benzopyran- 2,2'-2 (H)-indole]) employed here and excited under near-UV light (365 nm).

FIG. 56. Examples of the observed reflectance spectra and the calculated refractive indices for the mesostructured silica/EO<sub>106</sub>-PO-<sub>70</sub>-EO<sub>106</sub> optical film containing the spiropyran dye in the ground state (blue trace) and excited state (red trace).

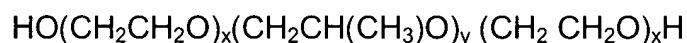
5

FIG. 57. Different dynamic responses of patterned films of 55 wt% EO<sub>106</sub>PO<sub>70</sub>EO<sub>106</sub> silican composites containing different spiropyran or spiroxazine dye species are shown upon exposure to incident ultraviolet light.

## DETAILED DESCRIPTION OF THE INVENTION

This invention provides a simple and general procedure for the syntheses of ordered large-pore (up to 14 nm) mesoporous metal oxides, including  $\text{TiO}_2$ ,  $\text{ZrO}_2$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{Ta}_2\text{O}_5$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{SiO}_2$ ,  $\text{WO}_3$ ,  $\text{SnO}_2$ ,  $\text{HfO}_2$  and mixed oxides  $\text{SiAlO}_{3.5}$ ,  
10  $\text{SiAlO}_{5.5}$ ,  $\text{Al}_2\text{TiO}_5$ ,  $\text{ZrTO}_4$ ,  $\text{SiTiO}_4$ . Commercially available, low-cost, non-toxic, and biodegradable amphiphilic poly(alkylene oxide) block copolymers can be used as the structure-directing agents in non-aqueous solutions for organizing the network forming metal species. Preferably the block copolymer is a triblock copolymer in which a hydrophilic poly(alkylene oxide) such as poly(ethylene  
15 oxide ( $\text{EO}_x$ ) is linearly covalent with the opposite ends of a hydrophobic poly(alkylene oxide) such as poly(propylene) oxide ( $\text{PO}_y$ ) or a diblock polymer in which, for example, poly(ethylene oxide) is linearly covalent with poly(butylene oxide) ( $\text{BO}_y$ ). This can variously be designated as follows:

20 poly(ethylene oxide)-poly(propylene oxide)-poly(polyethylene oxide)



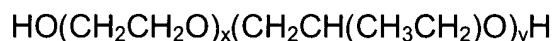
PEO-PPO-PEO

25



or

30 poly(ethylene oxide)-poly(butylene oxide)-poly(polyethylene oxide)



PEO-PBO-PEO

35



where  $x$  is 5 or greater and  $y$  is 30 or greater, with no theoretical upper limit to either value subject to practical considerations. Alternatively, for particular applications, one can use a reverse triblock copolymer or a star block amphiphilic poly(alkylene oxide block copolymer, for example, a star di-block copolymer or a reversed star di-block copolymer. Inexpensive inorganic salts rather than alkoxides or organic metal complexes are used as precursors. Both two-dimensional hexagonal ( $p6mm$ ) and cubic ( $Im3m$ ) mesostructures can be obtained, as well as lamellar mesostructures, depending on the choice of the block copolymers. Calcination at  $400^\circ\text{C}$ . yields mesoporous structures with high BET surface area ( $100\text{--}850\text{ m}^2/\text{g}$ ), porosity of 40-65%, large  $d$  spacings ( $60\text{--}200\text{ \AA}$ ), pore sizes of  $30\text{--}140\text{ \AA}$ , and wall thickness of  $30\text{--}90\text{ \AA}$ .

These novel mesoporous metal oxides are believed to be formed through a mechanism that combines block copolymer self-assembly with chelating complexation of the inorganic metal species. A unique aspect of these thermally stable mesoporous oxides is their robust inorganic framework and thick channel walls, within which a high density of nanocrystallites can be nucleated during calcination without disrupting the mesoscopic ordering. In addition, variations of this simple sol-gel process yield mesoporous oxides with technologically important forms including thin films, monoliths and fibers. The nanocrystalline framework, periodic large-pore structures, and high versatility of the inexpensive synthetic methodology make these mesoporous materials an excellent choice for applications including catalysis, molecular separations, fuel cells, adsorbents, optoelectronic devices, and chemical and biological sensors. For example, due to its low cost, ease of handling, and high resistance to photoinduced corrosion, one application for mesoporous  $\text{TiO}_2$  is photocatalytic water splitting, which is extremely important for environmentally friendly energy generation. There is also tremendous interest in using mesoporous  $\text{ZrO}_2$ ,  $\text{Si}_{1-x}\text{Al}_x\text{O}_y$ ,  $\text{Si}_{1-x}\text{Ti}_x\text{O}_y$ , as acidic

catalysts. Mesoporous  $\text{WO}_3$  can be used as the support for ruthenium, which currently holds the world record for photocatalytic conversion of  $\text{CH}_4$  to  $\text{CH}_3\text{OH}$  and  $\text{H}_2$ . Mesoporous materials with semiconducting frameworks, such as  $\text{SnO}_2$  and  $\text{WO}_3$ , can be also used in the construction of fuel cells.

5

Many applications of mesoporous metal oxides require both mesoscopic ordering and framework crystallinity. The mesoporous metal oxides of this invention are thermally stable and retain their mesoscopic ordering and structural integrity even after the nucleation of the high density of nanocrystallites within  
10 thick, robust channel walls. Development of such thermally stable, large-pore mesoporous metal oxide materials with nanocrystalline frameworks using lowcost, non-toxic, and biodegradable polyalkylene oxide block copolymers has enormous potential for a variety of immediate and future industrial applications.

15 In practicing this invention, one can use any amphiphilic block polymer having substantial hydrophilic and hydrophobic components and can use any inorganic material that can form crown-ether-type complexes with alkylene oxide segments through weak coordination bonds. The inorganic material can be any inorganic compound of a multivalent metal species, such as metal oxides and  
20 sulphides, preferably the oxides. The metal species preferentially associates with the hydrophilic poly(ethylene oxide) (PEO) moieties. The resulting complexes then self-assemble according to the mesoscopic ordering directed principally by microphase separation of the block copolymer species. Subsequent crosslinking and polymerization of the inorganic species occurs to form the mesoscopically  
25 ordered inorganic/block-copolymer composites. The proposed assembly mechanism for these diverse mesoporous metal oxides uses PEO-metal complexation interactions, in conjunction with (for example) electrostatic, hydrogen bonding, and van der Waals forces to direct mesostructure formation.



As Indicated above, one can carry out the assembly process in non-aqueous media using metal halides as the inorganic precursors, which effectively slows the hydrolysis/condensation rates of the metal species and  
5 hinders subsequent crystallization. Restrained hydrolysis and condensation of the inorganic species appears to be important for forming mesophases of most of the non-silica oxides, because of their strong tendency to precipitate and crystallize into bulk oxide phases directly in aqueous media.

10 The procedures of the present invention enable close control of the porosity of the final structure by varying the proportions of PEO and PPO or PBO and by adding an organic solvent to swell the PPO or PBO.

Because of their low cost, widespread use, and ease of preparation, we  
15 will first describe and exemplify the preparation of mesoporous silica, followed by the preparation of other metal oxides. We will then describe the multiphase assembly of meso-macro membranes, which we will exemplify with silica membranes.

## 20 Mesoporous Silicas

In accordance with this invention, we have synthesized a family of high quality, hydrothermally stable and ultra large pore size mesoporous silicas by using amphiphilic block copolymers in acidic media. One member of the family, to  
25 which we have assigned the designation SBA-15, has a highly ordered, two-dimensional hexagonal ( $p6mm$ ) honeycomb, hexagonal cage or cubic cage mesostructures. Calcination at  $500^{\circ}\text{C}$ . yields porous structures with high BET surface areas of  $690\text{-}1040\text{ m}^2/\text{g}$ , and pore volumes up to  $2.5\text{ cm}^3/\text{g}$ , ultra large  $d(100)$  spacings of  $74.5\text{-}450\text{ \AA}$ , pore sizes from  $46\text{-}500\text{ \AA}$  and silica wall  
30 thicknesses of  $31\text{-}64\text{ \AA}$ . SBA-15 can be readily prepared over a wide range of

specific pore sizes and pore wall thicknesses at low temperature (35-80° C.) using a variety of commercially available, low-cost, non-toxic, and biodegradable amphiphilic block copolymers, including triblock polyoxyalkylenes, as described below. In general, all microphase-separating, domain-partitioning copolymer systems can be considered as candidates for the synthesis of such mesostructured materials, depending on solution composition, temperature, processing conditions, etc. The pore size and thickness of the silica wall is selectively controlled by varying the thermal treatment of SBA-15 in the reaction solution and by the addition of cosolvent organic molecules, such as 1,3,5-trimethylbenzene (TMB). The organic template can be easily removed by heating at 140° C. for 3 h, yielding the mesoporous SBA-15 product, which is thermally stable in boiling water.

Transparent films, fibers, and monolithic materials with mesoscopic order can also be prepared by a similar process utilizing the same family of triblock polyoxyalkylene copolymers, yielding mesoporous structure in bulk. These materials are similarly synthesized in acidic media at low temperatures (20-80° C.), and display a variety of well-ordered copolymer phases with mesostructures of about 100-500 Å. They can be processed (e.g., molded) into a variety of bulk shapes, which are also transparent. In addition, it is possible to use polymer processing strategies, such as shear alignment, spin casting, and fiber drawing to induce orientational order in these materials. After calcination at 350° C. these monoliths and films retain their macroscopic shape and mesoscopic morphology. To our knowledge, these are the first reported thermally stable, transparent, monolithic, large pore-size materials with well-ordered mesostructure. Their dielectric constants can be varied to low values via the Lorentz-Lorenz relationship by tuning the pore volume fraction from 0.6 to as much as 0.86. The fluid sol processability, extraordinary periodic pore and cage structures, high pore volume fraction and inexpensive synthesis make them excellent low dielectric materials for inter-level dielectrics (LID) for on-chip interconnects to provide high speed, low dynamic power dissipation and low cross-talk noise.

20

To produce the highly ordered, ultra large pore silica mesostructures we adopted an  $S^+I^-X^-I^+$  synthesis processing strategy. This synthesis methodology is distinctly different from the  $S^+I^-$  route (pH>3) used to make the M41S family of mesoporous materials: the two methods employ conditions that are on opposite sides of the isoelectric point of aqueous silica (pH=2). For example, mesoporous silica SBA-15 can be synthesized using block copolymers, which that have a polyoxyethylene-polyoxypropylene-polyoxyethylene (PEO-PPO-PEO) sequence centered on a (hydrophobic) polypropylene glycol nucleus terminated by two primary hydroxyl groups; see Table 1 The synthesis is carried out in acidic (e.g., HCl, HBr, H<sub>2</sub>SO<sub>4</sub>, HNO<sub>3</sub>, H<sub>3</sub> PO<sub>4</sub>) media at 35-80 C. using either

30

tetraethylortho-silicate (TEOS), tetramethylorthosilicate (TMOS), or tetrapropoxysilane (TPOS) as the silica source.

Hexagonal SBA-15 has a wheat-like macroscopic morphology, a highly  
5 ordered (four to seven peaks in the X-ray diffraction pattern), two-dimensional  
hexagonal ( $p6mm$ ) mesostructure, BET surface areas up to  $1040 \text{ m}^2/\text{g}$ , pore  
volumes to  $2.5 \text{ cm}^3/\text{g}$ , and thick silica walls ( $31\text{-}64 \text{ \AA}$ ). The thick silica walls in  
particular are different from the thinner-walled MCM-41 mesostructures made  
with conventional low molecular weight cationic surfactants. The pore size and  
10 the thickness of the silica wall can be adjusted by varying the heating temperature  
( $35\text{-}140^\circ \text{ C.}$ ) or heating time ( $11\text{-}72 \text{ h}$ ) of the SBA-15 in the reaction solution and  
by adding organic swelling agents such as 1,3,5-trimethylbenzene. The thick  
walls of the hexagonally ordered pores of these materials produce a novel  
combination of a high degree of both mesoscopic organization and hydrothermal  
15 stability. Based on the above properties, SBA-15 materials have potential  
applications in catalysis, separations, chemical sensors, and adsorbents.

Transparent films and monoliths have been synthesized with similar  
PEO-PPO-PEO copolymers as structure-directing agents in an acidic sol-gel  
20 reaction. These materials can be synthesized with various amounts of water, acid,  
silicate source, and polymer to yield different mesophase structures depending  
upon the polymer and processing conditions used. The materials consist of a  
collection of aggregates of an organic polymer component, such as the  
amphiphilic copolymer Pluronic F127, which for a hexagonal array that organizes  
25 a polymerized silica matrix in the interstices between the polymer aggregates.  
Such morphologies are formed by interactions among the block copolymer and  
the oligomeric silicate species, and solidified as the silica polymerizes to form a  
monolithic structure. The polymer is not strongly incorporated into the silica walls,  
as inferred from the remarkably low temperature ( $150^\circ \text{ C.}$ ) needed to remove the  
30 polymer, and supporting  $^1\text{H}$  nuclear magnetic resonance (NMR) relaxation

measurements. These structures possess characteristic length scales of 100-200 Å and have very large domain sizes ( $>1\text{ }\mu\text{m}$ ), yet retain good transparency. Upon calcination the monoliths become opaque, though retain their bulk shape and possess mesoscopically ordered, hexagonally arranged pores (100-200 Å diameter), which impart high internal surface areas to the materials (ca.  $1000\text{ M}^2/\text{g}$ ).

#### Synthesis of Highly Mesoscopically Ordered Ultra-large-pore, and Hydrothermally Stable Mesoporous Silica

10

Referring to FIGS. 1a, b, c and 2, there is shown, approximately to scale, two prior art inorganic oxide porous structures and the SBA-15 produced in accordance with this invention. As shown in FIGS. 1a and 1b Faujasite, a sub-nanoporous zeolite has a pore size of less than 1 nm. MCM-41, a mesoporous molecular sieve-material, shown at FIG. 1c, has a pore size of about 8 nm. In contrast, as shown in FIG. 2, SBA-15, the ultra large pore mesoporous silica material produced by this invention, has a pore size of about 20 nm, in this particular example.

20

Mesoporous silica SBA-15 was synthesized at  $35\text{-}80^\circ\text{ C}$ . using a hydrophilic-hydrophobic-hydrophilic PEO-PPO-PEO triblock copolymer as the structure-directing-agent. 4.0 g of Pluronic P123 ( $\text{PEO}_{20}\text{ PPO}_{70}\text{ PEO}_{20}$ ) was dissolved in 30 g water and 120 g (2 M) HCl solution while stirring at  $35^\circ\text{ C}$ . To the resulting homogeneous solution 8.50 g TEOS was added while stirring at  $35^\circ\text{ C}$ . for 22 h. The mixture was then aged at  $100^\circ\text{ C}$ . without stirring for 24 h. The solid product was filtered, washed, and air-dried at room temperature. Calcination was carried out in air by slowly increasing the temperature (from room temperature to  $500^\circ\text{ C}$ . over 8 h) and heating at  $500^\circ\text{ C}$ . for 6 h.

25

X-ray diffraction is an important means for characterizing the SBA-15 family of materials. FIGS. 2a and 2b of pending application serial number 10/426,441 show small-angle XRD patterns for as-synthesized and calcined  
5 hexagonal mesoporous silica SBA-15 prepared by using the polyoxyalkylene triblock copolymer PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub> (Pluronic P123). The chemical composition of the reaction mixture was 4 g of the copolymer: 0.041 M TEOS: 0.24 M HCl: 6.67 M H<sub>2</sub>O). The XRD patterns were acquired on a Scintag PADX diffractometer equipped with a liquid nitrogen cooled germanium solid-state  
10 detector using Cu K $\alpha$  radiation. The X-ray pattern of as-synthesized hexagonal SBA-15 (FIG. 2a) shows four well-resolved peaks that are indexable as (100), (110), (200), and (210) reflections associated with p6mm hexagonal symmetry. The as-synthesized SBA-15 possesses a high degree of hexagonal mesoscopic organization indicated by three additional weak peaks that are present in the 2 $\theta$   
15 range of 1-3.5°, corresponding to the (300), (220), and (310) scattering reflections, respectively. The intense (100) peak reflects a d-spacing of 104 Å, corresponding to a large unit cell parameter ( $a=120$  Å). After calcination in air at 500° C. for 6 h, the XRD pattern (FIG. 2b) shows that the p6mm morphology has been preserved, although the peaks appear at slightly higher 2 $\theta$  values with  
20  $d(100)=95.7$  Å and a cell parameter ( $a_0$ ) of 110 Å. Six XRD peaks are still observed, confirming that hexagonal SBA-15 is thermally stable. A similarly high degree of mesoscopic order is observed for hexagonal SBA-15 even after calcination to 850° C.

25

SEM images (FIGS. 3a, 3b) reveal that as-synthesized hexagonal SBA-15 has a wheat-like morphology with uniform particle sizes of about ~80  $\mu$ m, and that these consist of many rope-like macrostructures. The SEM's were obtained on a JEOL 6300-F microscope. Calcined hexagonal SBA-15 at 500° C. in air  
30 shows a similar particle morphology, reflecting the thermal stability of the

macroscopic shape and structure. TEM images (FIG. 3c, 3d) of calcined SBA-15 with different sample orientations show well ordered hexagonal arrays of mesopores (one-dimensional channels) and further confirm that SBA-15 has a two-dimensional p6mm hexagonal structure. The TEM's were acquired using a  
5 2000 JEOL electron microscope operating at 200 kV. For the TEM measurements, samples were prepared by dispersing the powder products as a slurry in acetone and subsequently deposited and dried on a holey carbon film on a Ni grid. From high-dark contrast in the TEM images, the distance between mesopores is estimated to be about 110 Å, in agreement with that determined  
10 from XRD data.

Nitrogen adsorption-desorption isotherm plots and the corresponding pore-size distribution curves are shown in FIG. 4 for calcined hexagonal SBA-15 samples that were prepared using the copolymer PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub>. The  
15 sample corresponding to the measurements shown in FIGS. 4a and 4b was prepared by reaction at 35° C. for 20 h, heating at 100° C. for 48 h, and subsequent calcination in air at 500° C., yielding a hexagonal SBA-15 product material with a mean pore size of 89 Å, a pore volume of 1.17 cm<sup>3</sup>/g, and a BET surface area of 850 m<sup>2</sup>/g. The sample corresponding to the measurements  
20 shown in FIGS. 4c and 4d was prepared under identical conditions but additionally used TMB as an organic swelling agent to increase the pore size of the subsequent product material. Using TMB yields hexagonal mesoporous SBA-15 silica with a mean pore size of 260 Å, a pore volume of 2.2 cm<sup>3</sup>/g, and a BET surface area of 910 m<sup>2</sup>/g. The isotherms were measured using a  
25 Micromeritics ASAP 2000 system. Data were analyzed by the BJH (Barrett-Joyner-Halenda) method using the Halsey equation for multilayer thickness. The pore size distribution curve was obtained from an analysis of the adsorption branch of the isotherm. The pore volumes were taken at P/P<sub>0</sub>=0.983 signal point. Prior to the BET measurements, the samples were pretreated at  
30 200° C. overnight on a vacuum line. In both FIGS. 4a and 4c, three

well-distinguished regions of the adsorption isotherm are evident: (1) monolayer-multilayer adsorption, (2) capillary condensation, and (3) multilayer adsorption on the outer particle surfaces. In contrast to N<sub>2</sub> adsorption results for MCM-41 mesoporous silica with pore sizes less than 40 Å, a clear type H<sub>1</sub> hysteresis loop in the adsorption-desorption isotherm is observed for hexagonal SBA-15 and the capillary condensation occurs at a higher relative pressure ( $P/P_0 \sim 0.75$ ). The approximate pore size calculated using the BJH analysis is significantly smaller than the repeat distance determined by XRD, because the latter includes the thickness of the pore wall. Based on these results, the thickness of the pore wall is estimated to be ca. 31 Å (Table 1) for hexagonal SBA-15 prepared using the PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub> copolymer.

Heating as-synthesized SBA-15 in the reaction solution at different temperatures (80-140° C.) and for different lengths of time (11 72 h) resulted in a series of structures with different pore sizes (47-89 Å) and different silica wall thicknesses (31-64 Å) (as presented in Table 1). The pore sizes and the wall thicknesses determined for hexagonal SBA-15 from TEM images (such as shown in FIGS. 5a, 5b) are in agreement with those estimated from X-ray and N<sub>2</sub> adsorption measurements. The walls are substantially thicker than those typical for MCM-41 (commonly 10-15 Å) prepared using alkylammonium ion surfactant species as the structure directing-agents. Higher temperatures or longer-reaction times result in larger pore sizes and thinner silica walls, which may be caused by the high degree of protonation of the long hydrophilic PEO blocks of the copolymer under the acidic  $S^+X^-$  synthesis conditions. EOH moieties are expected to interact strongly with the silica species and to be closely associated with the inorganic wall. Increasing the reaction temperature results in increased hydrophobicity of the PEO block group, and therefore on average smaller numbers of the EOH groups that are associated with the silica wall (see below) and thus increased pore sizes.



The pore size of hexagonal mesoporous SBA-15 can be increased to ~300 Å by the addition of cosolvent organic molecules such as 1,3,5-trimethylbenzene (TMB). In a typical preparation, 4.0 g of Pluronic P123 was dissolved in 30 g water and 120 g (2 M) HCl solution with stirring at room temperature. After stirring to dissolve completely the polymer, 3.0 g TMB was added with stirring for 2 h at 35° C. 8.50 g TEOS was then added to the above homogeneous solution with stirring at 35° C. for 22 h. The mixture was then transferred to a Teflon autoclave and heated at 100-140° C. without stirring for 24 h. The solid product was subsequently filtered, washed, and air-dried at room temperature.

FIG. 6 shows the typical XRD patterns of hexagonal SBA-15 prepared by adding an organic swelling agent. The chemical composition of the reaction mixture was 4 g of the copolymer: 3 g TMB: 0.041 M TEOS: 0.24 M HCl: 6.67 M H<sub>2</sub>O. The X-ray pattern of as-synthesized product (FIG. 6a) shows three well-resolved peaks with d spacings of 270, 154, and 133 Å at very low angle (2θ range of 0.2-1°), which are indexable as (100), (110), and (200) reflections associated with p6mm hexagonal symmetry. The (210) reflection is too broad to be observed. The intense (100) peak reflects a d-spacing of 270 Å, corresponding to an unusually large unit cell parameter (a=310 Å). After calcination in air at 500° C. for 6 h, the XRD pattern (FIG. 6b) shows improved resolution and an additional broad (210) reflection with d spacing of 100 Å. These results indicate that hexagonal SBA-15 is thermally stable, despite its unusually large lattice parameter. The N<sub>2</sub> adsorption-desorption results show that the calcined product has a BET surface area of 910 m<sup>2</sup>/g, a pore size of 260 Å, and a pore volume of 2.2 cm<sup>3</sup>/g. TEM images confirm that the calcined products have highly ordered, hexagonal symmetry with unusually large pore sizes (FIGS. 5c, 5d).

FIG. 7 shows the change of the pore size and the d-spacing of the XRD

d(100) peak as a function of the TMB/copolymer mass ratio for calcined hexagonal SBA-15. The pore sizes of calcined SBA-15 were measured from the adsorption branch of the N<sub>2</sub> adsorption-desorption isotherm curve by the BJH (Barrette-Joyner-Halenda) method using the Halsey equation for multilayer thickness. The pore size data for the MCM-41 sample were taken from ref. 4. The chemical compositions of the reaction mixture were 4 g of the copolymer: x g TMB: 0.041 M TEOS: 0.24 M HCl: 6.67 M H<sub>2</sub>O for SBA-15 and NaAlO<sub>2</sub> : 5.3 C<sub>16</sub> TMACl : 2.27 TMAOH: 15.9 SiO<sub>2</sub> :x g TMB: 1450 H<sub>2</sub>O for the MCM-41 (C<sub>16</sub> TMACl=cetyltrimethylammonium chloride, TMAOH=tetramethyl-ammonium hydroxide). The ratios used in this study ranged from 0 to 3, with the d(100) spacing and pore size increasing significantly, up to 320 Å and 300 Å, respectively, with increasing TMB/copolymer ratio. The increased pore size is accompanied by retention of the hexagonal mesostructure, with the X-ray diffraction patterns of each of these materials exhibiting 3-4 peaks.

15

To the best of our knowledge, hexagonal SBA-15 has the largest pore dimensions thus far demonstrated for mesoscopically ordered porous solids. As shown in FIG. 7, the d(100) spacing and pore size of calcined MCM-41 prepared by using cationic surfactant species can also be increased, but compared to SBA-15, the change is much less. In addition, although MCM-41 pore sizes of ca. 100 Å can be achieved by adding auxiliary organic species (e.g., TMB), the resulting materials have significantly reduced mesostructural order. The XRD diffraction patterns for such materials are substantially less resolved, and TEM micrographs reveal less ordering, indicating that the materials possess lower degrees of mesoscopic order. This is particularly the case near the high-end of this size range (~100 Å) for which a broad single peak is often observed. These materials also tend to suffer from poor thermal stability as well, unless additional treatment with well TEOS (which reduces the pore size) is carried out. From our results, a family of highly ordered mesoporous SBA-15 silica can be synthesized with large uniform and controllable pore sizes (from 89-500 Å) by using

30

PEO-PPO-PEO copolymer species as amphiphilic structure-directing agents, augmented by the use of organic swelling agents in the reaction mixture. The pore size for hexagonal SBA-15 determined by TEM images (FIGS. 5c, 5d) is in agreement with that established from separate N<sub>2</sub> adsorption measurements.

5

Magic-Angle Spinning <sup>29</sup>Si NMR spectra (FIG. 8) of as-synthesized hexagonal SBA-15 show three broad peaks at 92, 99, and 109 ppm, corresponding to Q<sup>2</sup>, Q<sup>3</sup>, and Q<sup>4</sup> silica species, respectively. From the relative peak areas, the ratios of these species are established to be Q<sup>2</sup> : Q<sup>3</sup> : Q<sup>4</sup> = 0.07:0.78:1. These results indicate that hexagonal SBA-15 possesses a somewhat less condensed, but similarly locally disordered, silica framework compared to MCM-41.

TGA and DTA analyses (FIG. 9) of hexagonal SBA-15 prepared using PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub> show total weight losses of 58 wt % apparently consisting of two apparent processes: one at 80° C. (measured using TGA) yields a 12 wt % loss, accompanied by an endothermic DTA peak due to desorption of water, followed by a second 46 wt % weight loss at 145° C. with an exothermic DTA peak due to desorption of the organic copolymer. A Netzsch Thermoanalyzer STA 409 was used for thermal analysis of the solid products, simultaneously performing TGA and DTA with heating rates of 5 Kmin<sup>-1</sup> in air.

The desorption temperature of the large block copolymer (~150° C.) is much lower than that of cationic surfactants (~360° C.), so that the organic copolymer species can be completely removed and collected without decomposition by heating SBA-15 in an oven (air) at 140° C. for 3 h. (The possibility to recover and reuse the relatively expensive triblock copolymer structure-directing species is an important economic consideration and benefit to these materials.) It should be noted that the pure block copolymer PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub>, decomposes at 270° C., which is substantially lower than that of cationic

surfactants ( $\sim 360^\circ \text{C}$ .) during calcination. For comparison, the TGA of the copolymer  $\text{PEO}_{20} \text{PPO}_{70} \text{PEO}_{20}$  impregnated in  $\text{SiO}_2$  gel shows that the copolymer can be desorbed at  $190^\circ \text{C}$ ., which is  $\sim 50^\circ \text{C}$ . higher than required for hexagonal SBA-15. Removal of the organic species from as-synthesized SBA-15 at these relatively low temperatures (e.g.,  $140^\circ \text{C}$ .) suggests the absence of strong electrostatic or covalent interactions between the copolymer species and the polymerized silica wall, together with facile mass transport through the pores. The possibility to recover and reuse the relatively expensive triblock copolymer structure-directing species is an important economic consideration and advantage of these materials.

Hexagonal SBA-15 can be synthesized over a range of copolymer concentrations from 2-6 wt % and temperatures from  $35\text{-}80^\circ \text{C}$ . Concentrations of the block copolymer higher than 6 wt % yielded only silica gel or no precipitation of silica, while lower copolymer concentrations produced only dense amorphous silica. At room temperature, only amorphous silica powder or products with poor mesoscopic order can be obtained, and higher temperatures ( $>80^\circ \text{C}$ .) yield silica gel. Like TEOS, tetramethylorthosilicate (TMOS) and tetrapropoxysilane (TPOS) can also be used as the silica sources for the preparation of hexagonal SBA-15.

SBA-15 can be formed in acid media ( $\text{pH} < 1$ ) using HCl, HBr, HI,  $\text{HNO}_3$ ,  $\text{H}_2\text{SO}_4$ , or  $\text{H}_3\text{PO}_4$ . Concentrations of HCl ( $\text{pH} 2\text{-}6$ ) above the isoelectric point of silica ( $\text{pH} 2$ ) produce no precipitation or yield unordered silica gel. In neutral solution ( $\text{pH} 7$ ), only disordered or amorphous silica is obtained. We also measured the precipitation time ( $t$ ) of the silica as a function of the concentration of HCl and  $\text{Cl}^-$ . The  $[\text{Cl}^-]$  concentration was varied by adding extra NaCl, while keeping the  $\text{H}^+$  concentration constant. From these measurements,  $\log(t)$  is observed to increase linearly with  $\log C$  (where  $C$  is the concentration of HCl or  $\text{Cl}^-$ ). Slopes of 0.31 for  $[\text{Cl}^-]$  and 0.62 for HCl indicate that  $\text{Cl}^-$  influences the

synthesis of SBA-15 to a lesser extent than does  $H^+$ . Based on these results, we propose that the structure-directed assembly of SBA-15 by the polyoxyalkylene block copolymer in acid media occurs by a  $S^+X^-I^+$  pathway. While both the EO and PO groups of the copolymer are positively charged in acidic media, the PO groups are expected to display more hydrophobicity upon heating to 35-80° C., thereby increasing the tendency for mesoscopic ordering to occur. The protonated polyoxyalkylene ( $S^+$ ), the anionic inorganic ( $X^-$ ) bonding,  $S^+X^-$ , and the positive silica species ( $I^+$ ) are cooperatively assembled by hydrogen bonding interaction forces. Assembly of the surfactant and inorganic species, followed by condensation of silica species, results in the formation of hexagonal SBA-15 mesophase silica. At high pH values (2-7), the absence of sufficiently strong electrostatic or hydrogen bonding interactions leads to the formation of amorphous or disordered silica.

One of the limitations of calcined MCM-41 materials prepared without additional treatment with TEOS is their poor hydrothermal stability. As shown in FIG. 10, both as-synthesized and calcined (500° C. for 6 h) MCM-41, prepared with  $C_{16}H_{33}N(CH_3)_3Br$  as previously described, show well resolved hexagonal XRD patterns (FIGS. 10a, 10b). However, after heating in boiling water for 6 h, the structure of calcined MCM-41 is destroyed and the material becomes amorphous, as evidenced by the absence of XRD scattering reflections in FIG. 10c. By contrast, all of the calcined hexagonal SBA-15 samples prepared using the PEO-PPO-PEO block copolymers are stable after heating in boiling water for 24 h under otherwise identical conditions. For calcined hexagonal SBA-15 prepared by using the  $PEO_{20}PPO_{70}PEO_{20}$  copolymer and after calcination in air at 500° C. and subsequent heating in boiling water for 6 h, the (210) reflection becomes broader, the (300), (220), and (310) peaks become weaker, while the (100) peak is still observed with similar intensity (FIG. 10d). After heating in boiling water for 24 h, the intensity of the (100) Bragg peak (FIG. 10e) is still unchanged. Nitrogen BET adsorption isotherm measurements carried out after

such hydrothermal treatment shows that the monodispersity of the pore size, surface area, and pore volume are retained. The results confirm that calcined hexagonal SBA-15 silica is significantly more hydrothermally stable than calcined hexagonal MCM-41 silica, most likely because SBA-15 has a thicker silica wall.

- 5 This is an improved one-step alternative to two-step post-synthesis treatments that use tetraethylorthosilicate (TEOS) to stabilize mesoporous MCM-41 by reforming and structuring the inorganic wall with additional silica.

## 10 Preparation of Mesoscopically Ordered Silica-copolymer Monoliths and Films

A typical preparation of monolithic silica-copolymer mesostructures is outlined below. A series of samples was made with varying amounts of Pluronic F127 PEO<sub>100</sub>PPO<sub>65</sub>PEO<sub>100</sub> triblock copolymer, while holding other processing conditions constant. A calculated amount of a 20 wt % EtOH/Pluronic F127 solution (between 0.7 and 3.5 ml) is transferred into a 30 ml vial. 0.72 ml of an acidic solution of HCl (pH 1.5) is added to the polymer solution while stirring, followed by addition of 1.0 ml of tetraethylorthosilicate (TEOS). The solution is stirred until homogeneous, and allowed to gel uncovered under ambient conditions. After gelation (~2 days) the samples are covered for 2 weeks at room temperature. At the end of this period the gels have shrunk, yet done so uniformly to retain the shape of the container. Further research has shown that addition of a small amount of 3-glycidoxypropyltrimethoxysilane can prevent shrinkage. The cover is removed and the materials are dried at room temperature to eliminate excess solvent. The F127 series materials produced are transparent up to 38 wt % polymer, after which the polymer macro-phase separates creating a white opaque material. FIGS. 11a and 11b show optical photographs of two of the monoliths produced. These monoliths were produced using a 2:1 ratio of water to TEOS at pH 1.4 and room temperature, with aging for approximately 1 month.

30 Note the high degree of transparency and only one crack in the 34 wt % sample.

Subsequent research has allowed us to produce crack-free monoliths by varying the aging time and temperature. The monoliths pictured are approximately 3-mm thick; although thicker monoliths can be produced, the aging time for these samples increases significantly to eliminate cracking.

5

These monoliths were analyzed using XRD, TEM, and NIVIR to determine mesostructural morphology, as well as the mechanism of the structure formation. The F127 polymer series above showed an aggregation point of roughly 25 wt % F127, below which the polymer was disordered and homogeneously dispersed within the matrix and above which aggregation of the polymers led to silica-copolymer mesophases. The copolymer weight percents required to produce specific phases vary depending upon the exact conditions and copolymer used, however this example may be considered representative, though by no means all inclusive, of the results observed.

10  
15

XRD patterns of powdered samples obtained from the monoliths show a single diffraction peak with increasing intensity for increasing polymer concentration with a maximum at 38 wt %. Below 27 wt % F127, no XRD intensity is observed. The d(100) peak is centered at 112 Å for 27-34 wt % and increases to 120 Å for the 38 wt % sample. The change in the location of the peak is due to phase changes in the material, as observed by TEM and NMR. TEM reveals well ordered silica-copolymer mesophases in the samples with higher copolymer concentration, such as the lamellar phase in the 38 wt % sample shown in FIG. 12. The image shows that the material has an extremely well ordered lamellar mesoscopic structure with a repeat distance of ~105 nm. The image region is 990x1200 nm. The large background stripes are artifacts produced by the microtome cutting process and are otherwise unrelated to the morphology of the material. Lower concentrations of copolymer produced hexagonal, gyroid, or micellar phases with spacings of about 110 Å. The domain sizes for these structures is quite large, well over 1  $\mu\text{m}^2$  for the lamellar phase, which makes it surprising that only one XRD peak is observed, although others have shown that single XRD patterns do not always imply poorly ordered materials (F. Schuth). Below 27 wt % no mesostructural ordering is observed.

NMR spectroscopy was utilized to provide information about copolymer-silicate interactions on the molecular level.  $^1\text{H}$   $T_{1\rho}$  relaxation and two-dimensional  $^{29}\text{Si}$ - $^1\text{H}$  and  $^{13}\text{C}$ - $^1\text{H}$  heteronuclear correlation NMR experiments reveal that the polymer is rigidly incorporated in the silicate at 11 wt % and begins to microphase separate at 20 wt %. At 27 wt % the PEO and PPO are 80% separated from the silicate, and at 38 wt % the PPO is fully separated ( $>10$  Å) from the matrix. This indicates that a phase change has occurred in progressing from copolymer concentrations of 27 to 34 wt % in the samples, where some PPO- $^{29}\text{Si}$  correlation intensity is still observed. Some PEO was observed to be associated with the matrix at all concentrations, implying that the polymerizing silica and PEO blocks are compatible. This suggests that the material is



produced by polymerization of silicate oligomers that selectively swell the PEO block of the composite mesostructure.

It is possible to use this chemistry and processing to produce thin SBA-15  
5 silica-copolymer films by either spin-, drop-, or dip-casting. Such films can serve  
as robust permeable coatings for use in separation or chemical sensing  
applications or as host matrices for optically or electrically active guest molecules  
for use in optoelectronic devices. FIG. 13 shows a photograph and X-ray  
diffraction pattern of an optically transparent hexagonal SBA-15-copolymer film  
10 formed by drop-casting the reaction solution (2 ml TEOS, 0.6 ml H<sub>2</sub>O, 0.80 g  
Pluronic P104, 1 ml dimethylformamide) onto a glass slide and drying at room  
temperature. The film is 50- $\mu$ m thick, crack-free and transparent. The X-ray  
diffraction pattern of this film shows well resolved peaks that are indexable as  
(100), (110), (200), and (210) reflections associated with p6mm hexagonal  
15 symmetry in which the one-dimensional axes of the ca. 200 Å aggregates are  
highly ordered horizontally in the plane of the film.

High quality films can be produced generally as follows. A mixture of 5 ml  
tetraethylorthosilicate and 0.75-3.0 ml H<sub>2</sub>O (pH=1.4) is stirred for approximately  
20 30 min or until the silicate has hydrolyzed sufficiently to become miscible with  
water and thereby form a homogeneous solution. An appropriate amount  
(generally between 10-40 wt %) of block copolymer, such as Pluronic P104  
polyethyleneoxide-polypropyleneoxide-polyethyleneoxide copolymer, is  
dissolved in the solution. An additive such as ethanol, dimethylformamide, or  
25 tetrahydrofuran can be added to vary the viscosity and coating properties. The  
mixture is allowed to age, then is dip-, drop-, or spin-coated onto a glass or Si  
wafer substrate. Thin films with variable thicknesses can also be produced using  
spin coating.

The XRD patterns confirm that these thin films have highly ordered hexagonal ( $p6mm$ ), cubic ( $Im3m$ ), or 3-d hexagonal ( $p6_3/mmc$ ) mesostructures. They are highly ordered and can easily be shear aligned. BET measurements show that the thin films have narrow pore size distributions, pore sizes of 20-120 Å, pore volumes up to 1.7 cm<sup>3</sup>/g and BET surface areas up to ~1500 m<sup>2</sup>/g. SEM images of these thin films show a uniformly flat surface. The thickness of the films can be adjusted from 100 nm-1 mm by varying the concentration of the solution, aging time and coating time.

10

The examples shown above use PEO<sub>20</sub>PPO<sub>70</sub>PEO<sub>20</sub> copolymer species as the structure-directing agents. Highly ordered, ultra large pore size SBA-15 materials can also be synthesized by using PEO-PPO-PEO block copolymers with different ratios of EO to PO and without adding supplemental organic swelling agents, such as TMB. Table 1 summarizes the physicochemical properties of mesoporous silica prepared by using triblock and reverse triblock copolymers. The  $d(100)$ -spacings from X-ray diffraction measurements can be in the range of 74.5-118 Å, with pore sizes of 46-100 Å established by N<sub>2</sub> adsorption measurements. The EO/PO ratio and intramolecular distribution and sizes of the corresponding blocks affects the formation of SBA-15. A lower EO/PO ratio with a symmetric triblock PEO-PPO-PEO copolymer architecture favors the formation of  $p6mm$  hexagonal SBA-15. For example, Pluronic L121, PEO<sub>5</sub>PPO<sub>70</sub>PEO<sub>5</sub>, at low concentrations (0.5-1 wt %) forms hexagonal SBA-15, while use of higher concentrations of this copolymer (2-5 wt %) leads to an unstable lamellar mesostructured silica phase. Higher EO/PO ratios of the block copolymer, e.g. PEO<sub>100</sub>PPO<sub>39</sub>PEO<sub>100</sub> or PEO<sub>80</sub>PPO<sub>30</sub>PEO<sub>80</sub>, yield cubic SBA-15 silica, including an  $Im3m$  morphology. These cubic mesophase materials yield large 54-80 Å mesoscopically ordered pores and high BET surface areas (up to 1000 m<sup>2</sup> g). Hexagonal mesoporous silica SBA-15 can also be synthesized by using reverse

15

20

25

PPO-PEO-PPO triblock copolymer configuration, for example, PPO<sub>19</sub>PEO<sub>33</sub>PPO<sub>19</sub>.

In general, any microphase-separating, domain-partitioning copolymer  
5 architecture can be considered promising for the synthesis of such  
mesostructured materials, according to the specifications imposed by processing  
conditions and ultimately the product properties desired. Additionally, cubic  
(Pm3m) and hexagonal (p6mm) mesostructures can be formed by using Brij 56,  
C<sub>16</sub>H<sub>33</sub> (OCH<sub>2</sub>CH<sub>2</sub>)<sub>10</sub>OH (C<sub>16</sub>EO<sub>10</sub>) surfactant species, with the pore sizes  
10 controllable from 25-40 Å and BET surface areas up to 1070 m<sup>2</sup>/g. Brij 76  
(C<sub>18</sub>EO<sub>10</sub>) yields the three-dimensional hexagonal (P6<sub>3</sub>/mmc) and  
two-dimensional hexagonal (p6mm) mesostructures with similar pore sizes and  
surface areas; see Table 2.

15. Films and monoliths can be produced with several variations of the  
solution conditions and/or sol-gel parameters, such as the ratio of water to TEOS,  
aging time, acidity, additives, temperature, and choices of copolymer or nonionic  
surfactants. Materials for specific applications can be formulated by appropriate  
modification of these parameters. Heat treatment after gelation can also produce  
20 harder materials that are less likely to crack.

We have found that silica-surfactant mesophases and MCM-41-type  
mesoporous materials can be aligned using liquid crystal processing strategies,  
including imposition of magnetic, shear, or electric fields. Similarly, polymer  
25 processing of the silica-copolymer composites is expected to be equally  
advantageous for producing aligned ultra large mesopore hydrothermally spH  
materials. For example, it should be possible to induce orientational ordering of  
the silica-copolymer composites and resultant mesoporous materials by applying  
shear to the sol-gel/copolymer system as it dries. Concerning variations on  
30 processing SBA-15-copolymer thin films (0.1-100 µm), use of shear alignment

strategies, including spin-casting and dip-casting (i.e., drawing a vertical coverslip from a reservoir of the reaction solution), have been shown to induce larger degrees of orientational order than provided by drop-cast preparations. Moreover, guest molecules such as conducting or optically active organic species can be introduced to the reaction solution(s) and incorporated into the silica-copolymer monoliths, films or powders prior to or during processing. We have demonstrated the efficacy of this for the inclusion of conducting polymer moieties, such as poly(3,4-ethylenedioxythiophene) in SBA-15 silica-copolymer monoliths and spin-, drop-, and dip-cast films.

10

Methods currently available for the preparation of inorganic-organic mesophases or mesoscopically ordered porous materials typically involve one of five pathways that rely on Coulombic or hydrogen-bonding interactions, represented by the shorthand notations  $S^+I^-$ ,  $S^+X^-I^+$ ,  $S^-I^+$ ,  $S^{31}X^+I^-$ , or  $S^0I^0$ . The most popular route used in syntheses of mesoporous materials has been the  $S^+I^-$  approach in basic media, but the  $S^-I^+$  and  $S^-X^+I^-$  syntheses generally yield unstable non-silica based mesoporous materials. Furthermore, the surfactants used as the structure-directing agents in these cases (e.g., alkylammonium, alkylamine) are expensive and/or environmentally noxious. The  $S^0I^0$  synthesis route generally yields disordered or worm-like mesoporous solids due to the absence of strong electrostatic or hydrogen bonding interactions. The materials and synthesis method described here are less expensive, non-toxic, and considerably more versatile than the cases described above. They can be used to tune material properties, such as mesoscopic ordering, pore size, hydrothermal stability, monolith shape, orientational alignment, and compatibility with a wide range of guest molecules to a significantly greater extent than possible with the current state-of-the-art.

The ultra large mesopores in calcined SBA-15 materials provide new opportunities in chromatographic separations of large molecules, such as

30

proteins, enzymes, or polymers. In addition, these materials have promise for new applications in environmental remediation, such as the clean up of polycyclic aromatics, porphyrins, other large organics, and heavy metals from process streams or soils. These properties can be enhanced and tailored by  
5 functionalizing molecular moieties along the inorganic walls to provide chemical as well as size selective specificity of adsorption interactions.

To the best of our knowledge there have been no reports of mesoscopically ordered silica monoliths or films with large characteristic  
10 structural length scales ( $>50$  Å). The large-dimensions of the inorganic-copolymer aggregates and large pore sizes of the composite or mesoporous materials detailed herein are superior to conventional mesoporous solids due to their thermal stability, transparency, monolithic form, and ability to incorporate large guest molecules. SBA-15 mesoporous silica also has distinct  
15 advantages over dense silica, particularly for applications requiring a lower dielectric constant material. SBA-15 has much lower density, long range mesoscopic order and possibilities for obtaining materials with high degrees of structural anisotropy, compared to dense silica. The improvements substantially exceed those provided by MCM-type materials, as discussed earlier. This has  
20 attractive implications for the development of low dielectric constant materials, particularly for reducing the capacitance of interconnects, which are among the most severely limiting factors in improving integrated and optical circuit performance. As shown in FIG. 14, the quest for materials with dielectric constants significantly below 2 appears to be well within reach; calcined SBA-15  
25 materials have been prepared with porosities of 0.6-0.86, which lead to calculated optical dielectric constants of 1.1-1.4. One can produce aligned morphologies or structures with unconnected spherical cavities to eliminate transverse channel connectivities, which are undesirable for dielectric materials applications.

Use of block copolymers with a hydrophobic core also produces the unique ability to stabilize hydrophobic guest molecules that would not otherwise be compatible with the hydrophilic sol-gel reaction, such as some optically active dyes and polymers. Before now all optical moieties incorporated into sol-gel materials were either water soluble or had to be chemically grafted onto a compatible polymer. The inclusion of a hydrophobic region within our silicates, yet still smaller than optical wavelengths, allows an entirely new area of monoliths and coatings to be developed using hydrophobic dyes and optically active organics while retaining optical transparency. Furthermore, inclusion of guest conducting or optically active species, such as polymers and/or metal nanoparticles, in the pores can create quantum-effect materials. The controllability of the SBA-15 pore sizes, inorganic wall composition, organic composition, and guest species composition permit the properties (e.g., optoelectronic, mechanical, thermal, etc.) to be tuned over an enormous range. Indeed, sequential introduction of guest species, for example a conducting polymer coating on the interior of the inorganic wall, followed by a second polymer or metal/semiconductor species in the pore center, could lead to the first mesoscopically ordered arrays of nanosized coaxial quantum wires.

## Generalized Block Copolymer Syntheses of Mesoporous Metal Oxides

Mesoporous metal oxides were synthesized at 30-70° C. using poly(alkylene oxide) block copolymers  $\text{HO}(\text{CH}_2\text{CH}_2\text{O})_x(\text{CH}_2\text{CH}(\text{CH}_3)\text{O})_y(\text{CH}_2\text{CH}_2\text{O})_x\text{H}$  ( $\text{EO}_x\text{-PO}_y\text{-EO}_x$ ) or  $\text{HO}(\text{CH}_2\text{CH}_2\text{O})_x(\text{CH}_2\text{CH}(\text{CH}_3\text{CH}_2)\text{O})_y\text{H}(\text{EO}_x\text{-BO}_y)$  block copolymers as the structure-directing agents. In a typical synthesis, 1 g of poly(alkylene oxide) block copolymer was dissolved in 10 g of ethanol (EtOH). To this solution, 0.01 mole of the organic chloride precursor was added with vigorous stirring. The resulting sol solution was gelled in an open petri dish at 40-60° C. in air. The aging time differs for different inorganic systems. Alternatively, the sol solution can be used to prepare thin films by dip coating. The as-made bulk

samples or thin films were then calcined at 400° C. for 5 hours to remove the block copolymer surfactants. For the Al and Si<sub>1-x</sub>Al<sub>x</sub> systems, calcination was carried out at 600° C. for 4 hr. For WO<sub>3</sub>, calcination at 300° C. is sufficient to yield ordered mesoporous oxides.

5

X-ray diffraction (XRD) is an important technique for characterizing these metal oxide mesostructures. Table 3 summarizes the synthetic conditions, including the inorganic precursors and aging temperatures and times for the mesostructured inorganic/copolymer composites (before calcination) using  
10 EO<sub>20</sub>PO<sub>70</sub>EO<sub>20</sub> as the structure-directing agent. A broad array of mesostructured composites have been successfully prepared, covering the first-, second- and third-row transition metals and some main group elements as well. The ordering lengths shown in Table 3 correspond to the largest d value observed from the low-angle XRD patterns; it ranges from 70 to 160 Å for the different systems.  
15 High-order low-angle diffractions are also observed for most of these systems. Quantitative elemental chemical analysis suggests that the frameworks of these mesostructured composites are made up of metal-oxygen-chlorine networks.

Upon calcination, mesoporous TiO<sub>2</sub>, ZrO<sub>2</sub>, Nb<sub>2</sub>O<sub>5</sub>, Ta<sub>2</sub>O<sub>5</sub>, Al<sub>2</sub>O<sub>3</sub>, WO<sub>3</sub>,  
20 SiO<sub>2</sub>, SnO<sub>2</sub>, HfO<sub>2</sub>, and mixed oxides Si<sub>1-x</sub>Ti<sub>x</sub>O<sub>y</sub>, Zr<sub>1-x</sub>Ti<sub>x</sub>O<sub>y</sub>, Al<sub>1-x</sub>Ti<sub>x</sub>O<sub>y</sub>, Si<sub>1-x</sub>Al<sub>x</sub>O<sub>y</sub> are obtained. X-ray diffraction, transmission and scanning electron microscopy imaging (TEM & SEM), and nitrogen adsorption/desorption are three crucial techniques for characterization of these materials. Table 4 summaries the analysis results, including the ordering length, pore size, wall thickness, wall  
25 structure, porosity and Brunauer-Emmet-Teller (BET) surface area.

FIG. 15 shows typical XRD patterns for mesostructured zirconium oxides prepared using EO<sub>20</sub>PO<sub>70</sub>EO<sub>20</sub> as the structure-directing agent before and after calcination. The as-made zirconium inorganic/polymer mesostructure (FIG. 15a)  
30 shows three diffraction peaks with d=115, 65, and 59 Å. After calcination, the

diffraction peaks appear at higher  $2\theta$  angles with  $d=106, 60$ , and  $53 \text{ \AA}$  (FIG. 15b). Both sets of diffraction peaks can be indexed as the (100), (110), and (200) reflections from 2-dimensional hexagonal mesostructures with lattice constants  $a_0=132$  and  $122 \text{ \AA}$ , respectively. Similar XRD results are obtained in other mesoporous metal oxides. The ordering lengths of these mesoporous metal oxides (Table 4) are substantially larger than those of materials previously reported.

Thermogravimetric experiments indicate that the block copolymer is completely removed upon calcination at  $400^\circ \text{ C}$ . The appearance of low-angle diffraction peaks indicates that mesoscopic order is preserved in the calcined metal oxide materials. This is confirmed by TEM images obtained from mesoporous samples. As examples, FIGS. 16-26 show TEM images of mesoporous  $\text{ZrO}_2$ ,  $\text{TiO}_2$ ,  $\text{SnO}_2$ ,  $\text{WO}_3$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{Ta}_2\text{O}_5$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{HfO}_2$ ,  $\text{SiTiO}_4$ ,  $\text{SiAlO}_{3.5}$ , and  $\text{ZrTiO}_4$  recorded along the [110] and [001] zone axes of the 2-dimensional hexagonal mesostructures. In each case, ordered large channels are clearly observed to be arranged in hexagonal arrays. The pore/channel walls are continuous and have thicknesses of  $\sim 3.5\text{-}9 \text{ nm}$ . They are substantially thicker than those typical of metal oxides prepared using alkylammonium surfactant species as the structure-directing agents. In addition, energy dispersive X-ray spectroscopy (EDX) measurements made on the calcined samples show the expected primary metal element signals with trace of Cl signal, which confirms that the inorganic walls consist predominantly of metal-oxygen networks.

Furthermore, selected area electron diffraction patterns (ED) recorded on mesoporous  $\text{ZrO}_2$ ,  $\text{TiO}_2$ ,  $\text{SnO}_2$ , and  $\text{WO}_3$  show that the walls of these materials are made up of nanocrystalline oxides that show characteristic diffuse electron diffraction rings (FIGS. 16-18 and 20 insets). Wide-angle X-ray diffraction studies of calcined samples also clearly show broad peaks that can be indexed according to the corresponding oxide crystalline phase. FIG. 15d shows a wide-angle



diffraction pattern for the calcined  $\text{ZrO}_2$  sample. The sizes of the nanocrystals in the calcined materials are estimated to be  $\sim 2$  nm using the Scherrer formula. In addition, bright-field and dark-field (BF/DF) TEM imaging were employed to study the distribution of these nanocrystals. FIGS. 27 and 28 show such images recorded on same area of one thin mesoporous  $\text{TiO}_2$  and  $\text{ZrO}_2$  sample. As can be seen in the dark field image (FIGS. 27b, 28b), the oxide nanocrystals ( $\sim 2$  nm) are uniformly embedded in a continuous amorphous inorganic matrix to form semicrystalline wall structures. This is the first time that the combination of electron diffraction, X-ray diffraction, and bright field/dark field TEM imaging has been used to conclusively demonstrate that our mesoporous metal oxides have nanocrystalline framework.

FIGS. 29-36 show BET isotherms that are representative of mesoporous hexagonal  $\text{ZrO}_2$ ,  $\text{TiO}_2$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{Ta}_2\text{O}_5$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{WO}_3$ ,  $\text{SiTiO}_4$ , and  $\text{ZrTiO}_4$ . Barrett-Joyner-Halenda (BJH) analyses show that the calcined hexagonal mesoporous metal oxides exhibit pore sizes of 35-140 Å, BET surface areas of 100-850  $\text{M}^2/\text{g}$ , and porosities of 40-60%. The pore sizes are again substantially larger than the previous reported values. For most of the isotherms obtained on these metal oxides, three well-distinguished regions of the adsorption isotherm are evident: (1) monolayer-multi layer adsorption, (2) capillary condensation, and (3) multilayer adsorption on the outer particle surfaces. In contrast to  $\text{N}_2$  adsorption results obtained for mesoporous metal oxides prepared using low-molecular-weight surfactants with pore sizes less than 4 nm, large hysteresis loops that resemble typical  $\text{H}_1$ - and  $\text{H}_2$ -type isotherms are observed for these mesoporous metal oxides.

The foregoing examples used  $\text{EO}_{20}\text{PO}_{70}\text{EO}_{20}$  copolymer species as the structure-directing agent. Mesoporous metal oxides with other mesostructures can be synthesized by using  $\text{EO}_x\text{-PO}_y\text{-EO}_x$  or  $\text{EO}_x\text{-BO}_y$  block copolymers with different ratios of EO to PO or BO. For example, when  $\text{EO}_{75}\text{BO}_{25}$  copolymer is

used as the structure-directing agent, mesoporous  $\text{TiO}_2$  with cubic mesostructure can be prepared. FIG. 37 shows typical XRD patterns for mesostructured titanium oxides prepared using  $\text{EO}_{75}\text{BO}_{70}$  as the structure-directing agent, before and after calcination. The as-made titanium inorganic/polymer mesostructure (FIG. 35a) shows six diffraction peaks with  $d=100, 70, 58, 44, 41, 25 \text{ \AA}$ , which can be indexed as (110), (200), (211), (310), (222), (440) reflections of an Im3m mesophase. After calcination, the diffraction peaks appear at higher  $2\theta$  angles with  $d$  76, 53, and  $43 \text{ \AA}$  (FIG. 35b). These diffraction peaks can be indexed as the (110), (200), and (211) reflections from Im3m mesostructures. The cubic mesostructure is confirmed by the TEM imaging (FIGS. 38 39).

Films and monoliths (FIG. 40) can be produced by varying such synthetic conditions as the solvent, the ratio of inorganic/polymer, aging temperature, aging time, humidity, and choice of the block copolymer. Liquids that are common solvents for inorganic precursors and the block copolymers (e.g. methanol, ethanol, propanol, butanol) can be used during the synthesis. The temperature, the amount of water added, and the pH can adjusted to control formation of the mesostructures. Materials for specific applications can be formulated by appropriate modification of these parameters.

20

The advantages and improvements over existing practice can be summarized as follows:

(1) Robust, thick channel walls ( $35\text{-}90 \text{ \AA}$ ) which give enhanced thermal and chemical stabilities.

25

(2) Very large pore sizes ( $3.5\text{-}14 \text{ nm}$ )

(3) Use of low-cost inorganic precursors

30

(4) Versatile synthetic methodology using non-aqueous media that can be generally applied to vastly different compositions, among which mesoporous  $\text{SnO}_2$ ,  $\text{WO}_3$ , and mixed oxides  $\text{SiTiO}_4$ ,  $\text{ZrTiO}_4$ ,  $\text{Al}_2\text{TiO}_5$  are synthesized for the first time.

5

(5) For the first time, conclusive demonstration of the nanocrystallinity of the framework in mesoporous  $\text{ZrO}_2$ ,  $\text{TiO}_2$ ,  $\text{SnO}_2$ ,  $\text{WO}_3$  using XRD, ED and BF/DF TEM imaging

10

(6) Mesoporous metal oxides with various physical properties including semiconducting, low dielectric-constant, high dielectric-constant, and negative thermal expansion.

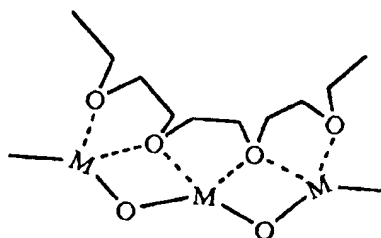
Crystallization of inorganic species during cooperative inorganic/organic self-assembly can lead to macroscopic phase separation of the inorganic and organic components. This is because crystallization energies often dominate the interaction energies that stabilize the inorganic-organic interface, thereby disrupting the establishment of mesostructural order. This is particular the case for non-lamellar phases. In the present invention, this situation is successfully circumvented by using conditions that initially produce a mesoscopically ordered material with an amorphous inorganic wall structure (FIGS. 15c and 35c) within which a high density of nanocrystals can subsequently be nucleated upon calcination. The thick wall and the noncrystallized inorganic matrix prevent this partially crystalline structure from collapsing by effectively sustaining the local strain caused by the nucleation of the nanocrystals. The coexistence of mesoscopic ordering and framework nanocrystallinity is extremely important for catalysis, sensor, and optoelectronic applications.

To the best of our knowledge, there has been no previous report of mesoporous metal oxide synthesis with such simplicity and versatility. The

30

formation, with such unprecedented simplicity and generality, of large-pore mesoscopically ordered metal oxides suggests that the same general inorganic/block polymer assembly mechanisms may be operating. In fact, it is well documented that alkylene oxide segments can form crown-ether type  
5 complexes with many inorganic ions, through weak coordination bonds. The multivalent metal species (M) can associate preferentially with the hydrophilic PEO moieties, as indicated in Scheme 1, because of their different binding capabilities with poly(ethylene oxide) (PEO) and poly(propylene oxide) (PPO). The resulting complexes then self-assemble according to the mesoscopic  
10 ordering directed principally by the microphase separation of the block copolymer species, and subsequently cross-link and polymerize (Scheme 1) to form the mesoscopically ordered inorganic/polymer composites.

Scheme 1



15

The proposed assembly mechanism for these diverse mesoporous metal oxides uses PEO-metal chelating interactions in conjunction with electrostatics, van der Waals forces, etc., to direct mesostructure formation.

20

A unique feature of the current synthetic methodology is use of inorganic precursors in non-aqueous media. Because of the lower electronegativities of the transition metals compared to silicon, their alkoxides are much more reactive towards nucleophilic reactions such as hydrolysis and condensation. There has  
25 been some work on the nonhydrolytic sol-gel chemistry of inorganic oxides, a

non-hydrolytic route involving carbon-oxygen bond cleavage instead of the metal-oxygen bond which has a general tendency to delay crystallization of the metal oxides, a very important for the first step of our inorganic-copolymer cooperative self-assembly process. In addition, the hydrolytic route to metal oxides often leads to difficulties in controlling stoichiometry and homogeneity. Homogeneity depends on the rate of homocondensation (i.e. formation of M-O-M and M'-O-M') versus the rate of heterocondensation, which can be hardly controlled in the hydrolytic process because of the different reactivities of the various precursors towards hydrolysis and condensation. However, in principle, the non-hydrolytic process should favor the formation of homogeneous binary oxides from different metal precursors because of the decreased difference in hydrolysis and condensation rates for different inorganic sources in non-aqueous media. This has been successfully demonstrated in the mesoporous mixed oxides syntheses using the methods of this invention.

15

This utilization of block copolymer self-assembly in conjunction with chelating complexation for inorganic/organic cooperative assembly in the non-aqueous media make it possible to synthesize mesoporous materials with vastly different compositions exemplified in Table 4.

20

#### Cooperative Multiphase Assembly of Meso-macro Silica Membranes

Here we describe a novel procedure for the synthesis of artificial coral silica membranes with 3-d meso-macro structures. This process utilizes multiphase media while including microphase separation block copolymer/silica composite and macrophase separation between strong electrolytes and the composite in a single step. We find that strong electrolytes such as NaCl, LiCl, KCl, NH<sub>4</sub>Cl, KNO<sub>3</sub>, or even transition metal cationic salts such as NiSO<sub>4</sub>, can be used to prepare meso-macro silica membranes that are formed at the interface of droplets of these inorganic salt solution. It is well known that in nature,

30

macroscopic ordered silica structure such as diatom and coral are grown through a protein modified process in the ocean environments that are rich in inorganic salts such as NaCl. The process used in this study may be significant in understanding the formation of diatom and coral in nature which also can be  
5 considered as a 3-phase media process: the environment of the cell, the cell membrane and the aqueous media within the cell.

The silica membranes (size ~4 cmx4 cm, thickness ~5 mm) with 3-d  
10 meso-macro silica network structures that we have prepared show oriented continuous rope, tyroid, and grape vine or dish pinwheel, and gyroid, morphologies depended on the electrolyte strength of the inorganic salts or amphiphilic block copolymer templates. The macropore size (0.5~100  $\mu\text{m}$ ) can be controlled by inorganic salts and evaporation rate of the solvent. The  
15 mesoscopic structures can be highly ordered 2-d honeycomb (pore size 40~90 Å) or 3-d cubic packing, and controlled by the amphiphilic block copolymer templates. These artificial coral meso-macro silica membranes are thermally stable and exhibit a large surface areas up to 1000  $\text{cm}^2/\text{g}$  and pore volumes up to 1.5  $\text{cm}^3/\text{g}$ .

20 The silica membranes were prepared by the use of two-step sol-gel chemistry. First oligomeric silica sol-gel was obtained by pre-hydrolyzing of tetraethoxysilane (TEOS) in ethanol solution by an acid-catalyzed process. Second, the oligomeric silica sol-gel was added into a mixture solution of poly(ethylene oxide)-block-poly(propylene oxide)-block-poly(ethylene oxide)  
25 (PEO-PPO-PEO) triblock copolymer and inorganic salts in water and ethanol. The final composition of this mixture was range of 1 TEOS:  $(6.8\sim34)\times10^{-3}$  copolymer: 0.51~3.0 inorganic salt: 18~65  $\text{H}_2\text{O}$ : 0.002~0.04 HCl: 11~50 EtOH. The silica membranes with 3-d meso-macro structures were obtained after drying at room temperature, washing with water to remove the inorganic salts, and  
30 calcination to completely remove the organic block copolymer.

In a typical synthesis, 2.08 g TEOS (Aldrich) were added to 5 g ethanol, 0.4 g water and 0.4 g (0.1 M) of HCl solution with stirring at room temperature for 0.5 h, then heated at 70° C. without stirring for 1 h. After cooling to room  
5 temperature, 1 g  $\text{EO}_{20}\text{PO}_{70}\text{EO}_{20}$  (Pluronic P123, Aldrich/BASF, average molecular weight 5800), 1 g NaCl, 10 g ethanol and 10 g water were added to this solution with stirring at room temperature for 1 h. The resultant solution was transferred into an open petri dish, allowed to evaporate at room temperature. After complete drying, the solid membrane was removed from the dish, 20 g  
10 water added and then heated in a sealed container at 100° C. for 3 days to dissolve the inorganic salts. After cooling to room temperature, the solid silica membranes were washed with de-ionic water and dried at room temperature. The as-synthesized silica membranes were calcined at 500° C. for 6 h in air to completely remove all organic block copolymers.

15

FIG. 41 shows several representative scanning electron microscope (SEM) images, obtained on a JEOL 6300-F microscope, of the silica membranes and inorganic salt (NaCl) crystal co-grown with the membranes by sol-gel chemistry. The silica membranes prepared from NaCl solution show 3-d  
20 macroscopic network structures and a coral-like morphology (FIG. 41a). The reticular 3-d network (thickness of  $\sim 1 \mu\text{m}$ ) of the silica membrane is made up of continuous rope-like silica which exhibits highly mesoscopic ordering (see below). The silica membranes can be as large as 4 cmx4 cm depended on the size of the container that is used. The thickness of the silica membranes can be varied from  
25 10  $\mu\text{m}$  to 5 mm.

As shown in FIG. 41b, the whole silica membrane shows similar local macroscopic structure that is not long-range ordering. The average macropore size of the silica membranes is about  $\sim 2 \mu\text{m}$  ( $\pm 0.4$ ) (FIG. 41a) and can be varied  
30 from  $\sim 0.5 \mu\text{m}$  to  $\sim 100 \mu\text{m}$  by changing the evaporation rate or the electrolyte

strength of the inorganic salts. For example, when a small amount of ethylene glycol is added into the sol-gel solution to slow the evaporation rate, a small macropore size ( $\sim 0.5 \mu\text{m}$ ) is obtained as shown in FIG. 41c. Of interest is finding that when the evaporation rate is low, the thickness of the silica network is decreased several hundreds nanometer as shown in FIG. 41c. When the evaporation rate is high, the macropore size of the silica membranes can be as large as  $\sim 10 \mu\text{m}$ , the framework thickness is increased (as shown in FIG. 41d) and the macroscopic structure of the silica membranes is changed to a 2-d honey comb channel structure.

10

The electrolyte strength of the inorganic salts also can be used to control the macropore size. By using stronger electrolytes, for example,  $\text{MgSO}_4$ , the macropore size can be as much as  $\sim 20 \mu\text{m}$ . In addition, the morphology of the silica membrane can be modified through changing the concentration of inorganic salts. Low concentrations of the inorganic salts result in an inhomogeneous silica membrane. While high concentrations, result in the grape vine morphology that makes up the silica membrane as shown in FIG. 41e.

The morphologies of the inorganic salt crystals are also affected by the organic block copolymer. For example, without the amphiphilic block copolymer, cubic crystals of  $\text{NaCl}$  as large as  $\sim 100 \mu\text{m}$  can be grown in the solution of water and ethanol, however, in the presence of the surfactant under our synthesis conditions, most  $\text{NaCl}$  crystals show an acicular ( $\sim 1 \mu\text{m}$  in diameter) morphology (FIG. 41f), with a length of as much as 1 cm. When  $\text{NiSO}_4$  is used as the inorganic salts in our synthesis condition, a disk-like morphology of  $\text{NiSO}_4$  crystal is observed at the bottom of the silica membranes. This suggests that the crystallization of the inorganic salts can also be directed by block copolymers.

Besides  $\text{NaCl}$ , other inorganic salts such as  $\text{LiCl}$ ,  $\text{KCl}$ ,  $\text{NH}_4\text{Cl}$ ,  $\text{Na}_2\text{SO}_4$ ,  $\text{MgSO}_4$ ,  $\text{NiSO}_4$ ,  $\text{MgCl}_2$ , chiral  $\text{NaClO}_3$ , and organic acids such as, malic acid, can

30



be used to form the silica membranes. FIG. 42 shows several representative scanning electron microscope (SEM) images of the meso-macroporous silica membranes prepared by using block copolymer P123 (a-c), or P65 (d) in different inorganic salt solutions. The morphology of the silica membranes is dependent on the electrolyte strength of the inorganic salts. For example, when LiCl, KCl, and NH<sub>4</sub>Cl are used, with electrolyte strengths comparable to that for NaCl, a similar coral-like morphologies (FIGS. 42a, b, c) are observed, although the network morphology of the silica membranes is somewhat different. However, when the inorganic salts with stronger electrolyte strengths such as Na<sub>2</sub>SO<sub>4</sub>, MgSO<sub>4</sub>, are used in the synthesis, the macroscopic structures consist silica networks made up of toroid, pinwheel, dish, and gyroid morphologies (FIG. 43).

The macroscopic structure is also affected by the block copolymer. When higher average molecular weight block copolymers such as Pluronic F127 (EO<sub>106</sub>PO<sub>70</sub>EO<sub>106</sub>) is used, cubic morphology is observed by SEM (FIG. 43a). This morphology results from silica grown around cubic NaCl crystals, suggesting a macroscopic inorganic crystal templating process for the mesoporous silica growth. When block copolymers such as Pluronic P65 (EO<sub>26</sub>PO<sub>39</sub>EO<sub>26</sub>) is used, the silica membrane with large macropore size is obtained (FIG. 42d).

20

The mesoscopic ordering in these silica membranes formed by the cooperative self-assembly of inorganic silica species/amphiphilic block copolymer is mainly controlled by the block copolymer while can be characterized by the low-angle X-ray diffraction patterns (FIG. 44) and transmission electron microscope (TEM) (FIG. 45). The XRD patterns of FIG. 44 were acquired on a Scintag PADX diffractometer using Cu Ka radiation. For the TEM of FIG. 45 measurements, the sample was prepared by dispersing the powder products as a slurry in acetone and subsequently deposited and dried on a hole carbon film on a Cu grid. As shown in FIG. 44a, the coral-like silica membranes synthesized by using P123 triblock copolymer after removal of NaCl by washing, shows a

30

typical hexagonal (p6mm) XRD pattern for mesoporous materials with four diffraction peaks ( $a=118 \text{ \AA}$ ), which is similar to that of SBA-15 described above. After calcination at  $500^\circ \text{ C}$ . in air for 6 h, the four-peak XRD pattern is also observed and the intensity of the diffraction peaks is increased, suggesting that

5 the p6mm mesoscopic ordering is preserved and thermally stable, although the peaks appear at slightly larger 2 $\theta$  values, with  $a=111 \text{ \AA}$ . The cell parameters of mesoscopic ordering on the silica membranes can be varied by using different triblock copolymers. For example,  $a=101 \text{ \AA}$  for Pluronic P103 ( $\text{EO}_{17}\text{PO}_{85}\text{EO}_{17}$ ) (FIG. 44b) and  $a=73.5 \text{ \AA}$  for Pluronic P65 ( $\text{EO}_{26}\text{PO}_{39}\text{EO}_{26}$ ) (FIG. 44c), these

10 materials have 2-d hexagonal (p6mm) mesoscopic highly ordered structures.

These results suggest that the presence of the inorganic salts such as NaCl does not greatly effect the cooperative self-assembly of block copolymer/silica to form highly ordered mesostructure. FIGS. 45a,b show TEM

15 images of calcined silica membranes prepared by using P123 block copolymer in NaCl solution at different orientations, confirming that silica network of the membranes is made up of a 2-d p6mm hexagonal mesostructure, with a well-ordered hexagonal array and one-dimensional channel structure. TEM images (FIG. 45c, d) of the silica membranes with small macropore size ( $\sim 0.5 \mu\text{m}$

20 from SEM) prepared by adding a small amount of ethylene glycol show that the rope-like networks of the silica membranes is made up of loop-like mesoscopic silica with oriented 1-d channel arrays parallel to the long axis. These rope-like silicas form a 3-d network macroporous structure. It should be noted that when higher molecular weight block copolymer F127 is used as the mesoscopic

25 structure-directing agents, a silica membrane with cubic mesostructure ( $a=217 \text{ \AA}$ ) can be obtained, based on XRD and TEM results.

SEM images of the silica membranes after calcination at  $500^\circ \text{ C}$ . in air show that the coral-like macrostructure is retained, demonstrating that the

30 coral-like meso-macro silica membranes prepared with inorganic salts are

thermally stable. Thermal gravimetric and differential thermal analyses (TGA and DTA) (FIG. 46) in air of the silica membranes prepared by using P123 block copolymer in NaCl solution after removal of the inorganic salts, show total weight losses of only 24 weight % (FIG. 46 top). A Netzsch Thermoanalyzer STA 409  
5 was used for thermal analysis of the solid products, simultaneously performing TGA and DTA with heating rates of  $5 \text{ Kmin}^{-1}$  in air. At  $100^\circ \text{C}$ . TGA registers a 18 weight % loss accompanied by an endothermic DTA peak caused from desorption of water, this is followed by a 6 weight % TGA loss at  $190^\circ \text{C}$ . which coincides with an exothermic DTA peak associated with decomposition of the  
10 organic block copolymer. By comparison, the silica membranes obtained without removed the inorganic salts show total weight losses of 50 weight % (FIG. 46 bottom). At  $100^\circ \text{C}$ . TGA registers a 4 weight % loss from physical adsorption of water, followed by a 46 weight % TGA loss at  $200^\circ \text{C}$ . from decomposition of the organic block copolymer.

15

The above observations confirm that the interaction between silica species and block copolymer species is weak, and after washing with water 84 weight % of the block copolymer in the silica membranes is removed. After washing by water and without calcination, these silica membranes already show  
20 similar nitrogen sorption behavior to that for calcined silica membranes, (FIG. 47a, b) so that after washing, both macroporous ( $\sim 2 \mu\text{m}$ ) and mesoporous ( $60 \text{ \AA}$ ) channels are already accessible. The isotherms of FIG. 47 were measured using a Micromeritics ASAP 2000 system. Data were calculated by using the BdB (Broekhoff and de Boer) model. The pore size distribution curve was obtained  
25 from an analysis of the adsorption branch of the isotherm. The pore volume was taken at  $P/P_0=0.985$  signal point. The BET sample was pre-treated at  $200^\circ \text{C}$ . overnight on the vacuum line.

The representative nitrogen adsorption/desorption isotherms and the  
30 corresponding pore size distribution calculated by using Broekhoff and de Boer's

model are shown in FIG. 48. The isotherms of FIG. 48. The isotherms were measured using a Micromeritics ASAP 2000 system. Data were calculated by using the BdB (Broekhoff and de Boer) model. The pore size distribution curve was obtained from an analysis of the adsorption branch of the isotherm. The pore volume was taken at  $P/P_0=0.985$  signal point. The BET sample was pre-treated at 200° C. overnight on the vacuum line. The coral-like silica membranes prepared using P123 block copolymers in a NaCl solution show a typical isotherm (type IV) of cylindrical channel mesoporous materials with  $H_1$ -type hysteresis, and exhibit a narrow pore size distribution at the mean value of 84 Å. This material has a Brunauer-Emmett-Teller (BET) surface area of 660 m<sup>2</sup>/g, and a pore volume of 1.1 cm<sup>3</sup>/g. The mesoscopic pore size of the silica membranes prepared in NaCl solution depended on the amphiphilic block copolymer, for example, the materials prepared by using P103 and P65 show similar isotherms and exhibit pore sizes of 77 and 48 Å, BET surface areas of 720 and 930 m<sup>2</sup>/g, and pore volumes of 1.12 and 0.99 cm<sup>3</sup>/g respectively (FIG. 48). When large molecular weight F127 block copolymer is used as the templates, the silica membrane with cubic mesoscopic structure shows the isotherms with a large  $H_2$ -type hysteresis (FIG. 49a) much different with that for hexagonal mesoscopic array silica membranes and does not fits to cylinders model by using BdB model to calculate the pore size distribution. (FIG. 49b) However, using spheres model, it shows quite narrow pore size distribution at a mean of 10.5 nm, and exhibit a BET surface area of 1003 m<sup>2</sup>/g, pore volume of 0.8 cm<sup>3</sup>/g (FIG. 49b). The silica membranes prepared by using nonionic oligomeric surfactant C<sub>16</sub>H<sub>33</sub>EO<sub>10</sub> also high BET surface area of 710 m<sup>2</sup>/g and pore volume of 0.64 cm<sup>3</sup>/g, but slight smaller a mean pore size of 3.6 nm (FIGS. 50a,b).

In order to understand the formation of the coral-like meso-macro silica membranes, we have carefully investigated the macroscopic structures in different areas (FIG. 51) of the as-made silica membranes prior to washing. As shown in FIGS. 51a-d, without washing out the inorganic salt (LiCl) the

macroscopic coral-like structures of the membrane have been already formed in the middle region of the silica membrane. On the other hand, the image recorded in the top region of the silica membrane is quite different than that from the middle region and show disordered pillow windows that have similar average  
5 macro-window size compared that in the middle region. These results suggest that the silica membrane grown at the air interface is different than that water interface. FIG. 51d shows the SEM image of the silica membrane prepared by LiCl recorded at the bottom region, suggesting that the mosaic-like inorganic salt  
10 LiCl crystals, which are confined by XRD and chemical analysis, are formed in the bottom of the silica membranes. The shape of the pillow-like LiCl crystals is somewhat similar to the fenestrated morphology observed at the top region of the silica membrane. SEM images of the silica membrane prepared by using NiSO<sub>4</sub> as the inorganic salt recorded on the top, middle, bottom regions of the membrane are shown in FIGS. 51e-h. Without washing out the inorganic salt  
15 (NiSO<sub>4</sub>) (FIGS. 51e,f) SEM images reveal a disk-like window morphology at the top of the membrane, while inside this window, a coral-like morphology can be seen (FIG. 46f). However, at the bottom of the membrane, grape vine-like silica macrostructures with disk-like inorganic salt NiSO<sub>4</sub> crystals are observed (FIG. 51g, h). The size of disk-like NiSO<sub>4</sub> crystals is the same as the window size of the  
20 silica membrane at the top. These results are consist with initial phase separation between the coral-like silica macrostructure and inorganic salts, followed by formation of the silica macrostructure above the inorganic salts.

In order to further confirm the formation of the materials, we investigate the  
25 change of composition as a function of the evaporation time (FIG. 52). The chemical composition of the starting reaction mixture was 1 g P123 block coploymer: 0.01 mol TEOS: 1 g LiCl :  $4 \times 10^{-5}$  mol HCl: 0.55 mol H<sub>2</sub>O: 0.33 mol EtOH. As shown in FIG. 52, in the beginning, the concentration (weight %) of ethanol is decreased rapidly, and the concentration of water and SiO<sub>2</sub> and  
30 inorganic salt LiCl are increased since a large amount of ethanol is evaporated.

After about 3 h, silica-block copolymer gel starts to form, in liquid phase, the concentration of silica is rapidly decreased and the concentration of LiCl is rapidly increased. When the silica mesostructure is formed as determined by XRD, almost all the ethanol has evaporated (in liquid phase, a concentration lower than 1%) and only a trace amount of silica is found in the liquid phase, suggesting that the silica/organic block copolymer composition has been already solidified at this time at the interface with salt water. When the concentration of salt LiCl is near saturation concentration (45%), the crystallization of the inorganic salt LiCl occurs. At this time, the formation of mesostructured silica has been almost completed.

These results further indicate that the macroscopic silica structure is formed first at the interface of inorganic salt water, and sequentially, when the solution of the inorganic salt reaches saturation concentrations, crystal of inorganic salts are formed in the bottom of the membrane.

Based on above results, we postulate that macroscopic silica structure is formed around a droplet of inorganic salt solution as illustrated in Scheme A (FIG. 53). Ethanol is first evaporated, then, water. As the inorganic salt solution becomes more concentrated, two domains are formed, one a water-rich domain, where most inorganic salt is located, another a water-poor domain, where silica and block copolymer compositions are located. The formation of two domains results in tri-phase separation, a droplet of inorganic salt solution phase separated by silica-block copolymer gel. The droplet of the solution serves as a template for the growth of silica-block copolymer composites. Once the macrostructure is rigidified, the inorganic salt solution approaches to the bottom of the container progressively. The cooperative self-assembly of silica/block copolymer occurs at the interface of the droplet, and results in coral-like mesomacroscopic silica structure. On the other hand, when the silica is formed at the interface of air and salt water, the droplet of the salt solution becomes flatters, resulting in the fenestrated membrane at the top.

Referring to FIG. 54, progressively higher magnifications are shown of a section of a meso-macro silica membrane made in accordance with this invention. The membrane is shown in FIG. 54a which has a macropore structure, as shown in FIG. 54b. However the walls defining the macropores have a mesoporous structure.

In summary, artificial coral silica membranes with 3-d meso-macro structures have been synthesized by a novel process of an acidic catalyzed silica sol-gel chemistry in the presence of inorganic salts. Inorganic salts play an important role on the formation of meso-macro silica membranes that are grown at the interface of a droplet of inorganic salt solution. The results are of general importance for understanding multiphase processes such as the formation of diatoms coral silica structures in nature. The silica membranes (size ~4 cmx4 cm, thickness ~5 mm) with 3-d meso-macro silica network structures show oriented continuous rope, toroid, and grape vine, or dish, pinwheel, gyroid, and cubic cage morphologies depending on the electrolyte strength of the inorganic salts or amphiphilic block copolymer templates. The macropore size (0.5~100  $\mu\text{m}$ ) can be controlled by inorganic salts and the evaporation rate of the solvent. The mesoscopic structures can be highly ordered 2-d honeycomb (pore size 40~90 Å) or 3-d cubic packing and are controlled by the amphiphilic block copolymer templates. The coral-like mesomacro silica membranes are thermally stable and exhibit large surface areas (to 1000  $\text{cm}^2/\text{g}$ ) and pore volume (to 1.1  $\text{cm}^3/\text{g}$ ). We anticipate that these new process ceramics material with structure and design on multiple length scales will have many applications in the areas, including separation, sorption, medical implant, catalysis, and sensor array applications.

The example shown above in forming meso-macro silica membranes used Pluronic P123 block copolymer,  $\text{EO}_{20}\text{PO}_{70}\text{EO}_{20}$  as the template to control mesoscopic ordering of the silica membranes. Besides P123, other surfactants can also be used in the synthesis. For example, one could use:

(1) a diblock copolymer, poly(ethylene oxide)-block-poly(propylene oxide); poly(ethylene oxide)-block-poly(butylene oxide) (Dow Company); B50-6600, BL50-1500;

5

(2), a triblock copolymer, poly(ethylene oxide)-block-poly(propylene oxide)-block poly(ethylene oxide); (BASF) poly(ethylene oxide)-block-poly(butylene oxide)-block poly(ethylene oxide) (Dow Company); such as Pluronic L64, L121, L122, P65, P85, P103, P104, P123, PF20, PF40, PF80, F68, F88, F98, F 108, F 127;

10

(3) a reversed triblock copolymer Pluronic 25R8, 25R4, 25R2

(4) a star di-block copolymer (BASF), Tetronic 901, 904, 908; and

15

(5) a reversed star di-block copolymer Tetronic 90R1, 90R4, 90R8.

The inorganic salts can be electrolyte, such as KCl, NaCl, LiCl,  $\text{NH}_4\text{Cl}$ ,  $\text{MgCl}_2$ ,  $\text{MgSO}_4$ ,  $\text{KNO}_3$ ,  $\text{NaClO}_3$ ,  $\text{Na}_2\text{SO}_4$ ,  $\text{NiSO}_4$ ,  $\text{CoCl}_2$ , water organic acid, such as DL tartaric acid, citric acid, malic acid. We claim that dissolvable alkali salts, alkaline earth salts, transition metal, sulfate, nitrate, halide, chlorate, perchlorate.

20

The preparation of meso-macro silica membrane are emulsion chemistry latex sphere template; phase separation and solvent exchanged; inorganic salts templating which was developed by ourselves here. This discovery should have great signification for understanding the formation of the diatom and coral in nature, The macromesoporous materials would have many applications in the areas of sorption, catalysis, separation, sensor arrays, optoelectronic devices. The materials and synthesis method described here are very versatile in that they can be used for many fields of application and for synthesis of any

25

30



inorganic-surfactant composites, for example, aluminophosphate-based,  $\text{TiO}_2$ ,  $\text{ZrO}_2$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{Ta}_2\text{O}_5$ ,  $\text{Cr}_2\text{O}_3$ ,  $\text{Fe}_2\text{O}_3$ ,  $\text{ZrTiO}_4$ ,  $\text{Al}_2\text{SiO}_5$ ,  $\text{HfO}_2$ , meso-macroporous silica membranes. These materials would have many applications on sorption, catalysis, separation, sensor arrays, optoelectronic devices.

TABLE 1

Physicochemical Properties of Mesoporous Silica (SBA) prepared using Polyoxynilkyene Block Copolymers.							
Block Copolymer	Formal	Meso-phase	d(Å) <sup>a</sup>	BET surface area (m <sup>2</sup> /g)	pore size <sup>b</sup> (Å)	pore volume (m <sup>3</sup> /g)	Wall <sup>c</sup> Thickness (Å)
Pluronic L121	PEO <sub>5</sub> PPO <sub>70</sub> PEO <sub>5</sub>	lamellar	116				
Pluronic L121	PEO <sub>5</sub> PPO <sub>70</sub> PEO <sub>5</sub>	hexagonal	118(117)	633	100	1.04	35
Pluronic F127	PEO <sub>106</sub> PPO <sub>70</sub> PEO <sub>106</sub>	cubic	124(118)	742	54	0.454	
Pluronic F88	PEO <sub>100</sub> PPO <sub>39</sub> PEO <sub>100</sub>	cubic	118(101)	696	35	0.363	
Pluronic F68	PEO <sub>80</sub> PPO <sub>30</sub> PEO <sub>80</sub>	cubic	91.6(88.9)				
Pluronic P123	PEO <sub>20</sub> PPO <sub>70</sub> PEO <sub>20</sub>	hexagonal	104(95.7)	692	47	0.557	64
Pluronic P123	PEO <sub>20</sub> PPO <sub>70</sub> PEO <sub>20</sub>	hexagonal	105(97.5) <sup>d</sup>	780	60	0.795	53
Pluronic P123	PEO <sub>20</sub> PPO <sub>70</sub> PEO <sub>20</sub>	hexagonal	103(99.5) <sup>e</sup>	820	77	1.03	38
Pluronic P123	PEO <sub>20</sub> PPO <sub>70</sub> PEO <sub>20</sub>	hexagonal	108(105) <sup>f</sup>	920	85	1.23	36
Pluronic P123	PEO <sub>20</sub> PPO <sub>70</sub> PEO <sub>20</sub>	hexagonal	105(104) <sup>g</sup>	850	89	1.17	31
Pluronic P103	PEO <sub>17</sub> PPO <sub>85</sub> PEO <sub>17</sub>	hexagonal	97.5(80.6)	768	46	0.698	47
Pluronic P65	PEO <sub>20</sub> PPO <sub>30</sub> PEO <sub>20</sub>	hexagonal	77.6(77.6)	1003	51	1.26	39
Pluronic P85	PEO <sub>26</sub> PPO <sub>39</sub> PEO <sub>26</sub>	hexagonal	92.6(88.2)	962	60	1.08	42
Pluronic L64	PEO <sub>13</sub> PPO <sub>70</sub> PEO <sub>13</sub>	hexagonal	80.6(80.5)	950	59	1.19	34
Pluronic 25R4	PEO <sub>19</sub> PPO <sub>33</sub> PEO <sub>19</sub>	hexagonal	74.5(71.1)	1040	48	1.15	34
Tetronic 908		cubic	101(93.6)	1054	30	0.692	
Tetronic 901		cubic	73.9(70.1)				
Tetronic 90R4		cubic	7.39(68.5)	1020	45	0.910	--

- 10 <sup>a</sup>, d(100) spacing or d value of characteristic reflection of the as-synthesized products and the value inside brackets is the d value after calcination at 500° C. for 6 h.
- <sup>b</sup>, calculated from adsorption branch.
- <sup>c</sup>, calculated by  $a_0$ -pore size ( $a_0 = 2 \times d(100)/\sqrt{3}$ ).
- 15 <sup>d</sup> reaction at 35° C. for 20 h, then heating:
- <sup>e</sup> at 80° C. for 24 h.;
- <sup>f</sup> at 80° C. for 48 h.;
- <sup>g</sup> at 90° C. for 24 h.;
- <sup>h</sup> at 100° for 24h.

TABLE 2

Physicochemical Properties of Mesoporous Silica (SBA) Prepared Using Nonionic Alkyl Polyethylene Oxide Surfactants.						
Surfactant	Reaction Temperature	Mesophase	d(Å) <sup>a</sup>	BET surface area (m <sup>2</sup> /g)	pore size <sup>b</sup> (Å)	Pore volume (m <sup>3</sup> /g)
C <sub>16</sub> EO <sub>2</sub>	RT	lamellar	64.3			
C <sub>12</sub> EO <sub>4</sub>	RT	cubic	45.3(44.7)	665	22	0.375
C <sub>12</sub> EO <sub>4</sub>	RT	lamellar (La)	45.7	570		
C <sub>12</sub> EO <sub>4</sub>	60° C.	lamellar	42.4	606	24	0.392
C <sub>16</sub> EO <sub>10</sub>	RT	cubic	56.6(47.6)	1070	25	0.678
C <sub>16</sub> EO <sub>10</sub>	100° C.	hexagonal	64.1(62.8)	910	35	1.02
C <sub>16</sub> EO <sub>20</sub>	RT	cubic	73.7(49.6)	602	22	0.291
C <sub>18</sub> EO <sub>10</sub>	RT	P6 <sub>3</sub> /mmc	63.5(51.0)	1150	31	0.826
C <sub>18</sub> EO <sub>10</sub>	100° C.	hexagonal	77.4(77.0)	912	40	0.923
C <sub>18</sub> H <sub>35</sub> EO <sub>10</sub>	RT	P6 <sub>3</sub> /mmc	49.1(47.7)	1004	27	0.587
C <sub>12</sub> EO <sub>23</sub>	RT	cubic	64.8(43.3)	503	16	0.241
Tween 20	RT	cubic	55.1(46.8)	795	19	0.370
Tween 40	RT	cubic	52.4(49.6)	704	20	0.363
Tween 60	RT	cubic	62.4(54.4)	720	24	0.516
Tween 60	RT	lamellar	28.7			
Tween 80	RT	cubic	62.2(53.9)	712	25	0.431
Span 40	RT	lamellar	55.5			
Triton X100	RT	cubic	41.8(35.5)	776	17	0.353
Triton X114	RT	cubic	42.4(36.7)	989	16	0.453
Teritor TMN 6	RT	cubic	44.3(39.9)	1160	23	0.568
Teritor TMN 10	RT	cubic	42.3(36.5)	804	20	0.379

- 5 <sup>a</sup>, d(100) spacing or d value of characteristic reflection of the as-synthesized products and the number inside brackets is the d value after calcination at 500° C. for 6 h.  
<sup>b</sup>, calculated from adsorption branch.

TABLE 3

10

System	Inorganic Precursor	Aging Temperature, ° C.	Aging time(day)	d(Å)
Zr	ZrCl <sub>4</sub>	40	1	125
Ti	TiCl <sub>4</sub>	40	7	123
Al	AlCl <sub>3</sub>	40	2	130
Si	SiCl <sub>4</sub>	40	2	171
Sn	SnCl <sub>4</sub>	40	2	124
Nb	NbCl <sub>5</sub>	40	2	106
Ta	TaCl <sub>5</sub>	40	2	110
W	WCl <sub>6</sub>	60	15	126
Hf	HfCl <sub>4</sub>	40	1	124
Ge	GeCl <sub>4</sub>	40	15	146
V	VCl <sub>4</sub>	60	7	111
Zn	ZnCl <sub>2</sub>	60	30	120
Cd	CdCl <sub>2</sub>	40	7	111
In	InCl <sub>3</sub>	60	30	124
Sb	SbCl <sub>5</sub>	60	30	93
Mo	MoCl <sub>5</sub>	60	7	100
Re	ReCl <sub>5</sub>	60	7	121
Ru	RuCl <sub>3</sub>	40	3	95
Ni	NiCl <sub>2</sub>	40	2	100
Fe	FeCl <sub>3</sub>	40	7	116
Cr	CrCl <sub>3</sub>	40	4	117
Mn	MnCl <sub>2</sub>	40	7	124
Cu	CuCl <sub>2</sub>	40	7	98
SiAl	AlCl <sub>3</sub> /SiCl <sub>4</sub>	40	2	120
Si <sub>2</sub> Al	AlCl <sub>3</sub> /SiCl <sub>4</sub>	40	2	120
ZrTi	ZrCl <sub>4</sub> /TiCl <sub>4</sub>	40	2	110
Al <sub>2</sub> Ti	AlCl <sub>3</sub> /TiCl <sub>4</sub>	40	7	112
SiTi	SiCl <sub>4</sub> /TiCl <sub>4</sub>	40	3	103

System	Inorganic Precursor	Aging Temperature, ° C.	Aging time(day)	d(Å)
ZrW <sub>2</sub>	ZrCl <sub>4</sub> /WCl <sub>6</sub>	40	3	140
SnIn	SnCl <sub>4</sub> /InCl <sub>3</sub>	40	30	83

TABLE 4

5

Oxide	d <sub>100</sub> (Å)	Wall Structure	Wall Thickness (Å)	Nanocrystal Size (Å)	Pore Size (Å)	BET Surface Area (m <sup>2</sup> /g)	Porosity	Physical Properties
ZrO <sub>2</sub>	106	Tetra, ZrO <sub>2</sub>	65	15	58	150	0.43	dielectric
TiO <sub>2</sub>	101	Anatase	51	24	65	205	0.46	semicond.
Nb <sub>2</sub> O <sub>5</sub>	75	Nb <sub>2</sub> O <sub>5</sub>	40	<10	45	196	0.50	dielectric
Ta <sub>2</sub> O <sub>5</sub>	68	Ta <sub>2</sub> O <sub>5</sub>	40	<10	35	165	0.50	dielectric
WO <sub>3</sub>	95	WO <sub>3</sub>	50	30	50	125	0.48	semicond.
SnO <sub>2</sub>	106	Cassiterite	50	30	68	180	0.52	semicond.
HfO <sub>2</sub>	105	amorphous	50	--	70	105	0.52	dielectric
Al <sub>2</sub> O <sub>3</sub>	186	Amorphous	35	--	140	300	0.61	dielectric
SiO <sub>2</sub>	198	Amorphous	86	--	120	810	0.63	dielectric
SiAlO <sub>3.5</sub>	95	Amorphous	38	--	60	310	0.59	dielectric
Si <sub>2</sub> AlO <sub>5.5</sub>	124	Amorphous	40	--	100	330	0.55	dielectric
Al <sub>2</sub> TiO <sub>5</sub>	105	amorphous	40	--	80	270	0.59	dielectric
ZrTiO <sub>4</sub>	103	amorphous	35	--	80	130	0.46	dielectric
SiTiO <sub>4</sub>	95	amorphous	45	--	80	495	0.63	dielectric
ZrW <sub>2</sub> O <sub>8</sub>	100	amorphous	45	--	50	170	0.51	NTE

## 10 Optical, Electrical, and Thermal Induced Refractive Index Changes in Inorganic-Organic Composites, Films, and Fibers.

Large changes in a material's refractive index,  $n$ , are achieved by introducing components that are sensitive to optical, or thermal, or electric fields and respond by exhibiting a strong change in their electronic charge distribution.

15 Such a change in electronic charge distribution is quantified as a change in the dipole moment ( $\mu$ ) of the species and referred to as such herein. In particular, optically responsive species that absorb near-ultraviolet or near-infrared wavelengths are preferred, because they permit transparency to be maintained in the visible spectrum while still providing significant charge separation that can

20 lead to large changes in  $n$ . Such species are typically organic molecules (e.g., conjugated systems, polycyclic aromatics, azobenzenes, etc.) or metal charge transfer complexes which possess electronic structures that produce a large and spontaneous charge redistribution as a result of excitation from their ground

states. Such compounds generally must be dispersed in a host matrix to provide the macroscopic properties desired, such as processability, mechanical strength, and optical transparency.

5           A wide range of field-stimulable components can be incorporated into mesostructured materials of the present invention resulting in large compositional flexibility. The dielectric constant of such mesostructured materials,  $\epsilon \sim 1.5$ , is much smaller than that of typical inorganic photorefractive crystals,  $\epsilon \sim 30$ . This lower dielectric constant reduces the screening of electrical charges, thereby  
10       leading to a greater stored electric field for the same trapped charge density. As a result, greater changes in optical properties can be realized by choosing appropriate organic components and controlling their orientational ordering within aligned mesostructured materials.

15           For example, spiropyran dyes are strongly near-UV-absorbing and thermochromic species which have been introduced into inorganic glass or polymer hosts. We have recently shown that it is advantageous to incorporate spiropyrans and spirooxazines, as well as numerous other organic dye molecules, into mesostructured silica/block-copolymer composites.

20

          We have demonstrated a substantial photoinduced change in the visible refractive index,  $\Delta n_{\text{avg}} = 0.23$ , for a mesostructured thick film composite (1.5 wt% spiropyran/55 wt%  $\text{EO}_{106}\text{PO}_{70}\text{EO}_{106}$ /silica) as a result of excitation under longwave (365 nm) UV light. Optically transparent films of these composites were  
25       deposited on quartz and silicon substrates and their refractive indices were determined by acquiring multi-wavelength reflectance spectra with an optical analyzer before and after irradiation with longwave light (365 nm). Figure 55 shows examples of some of the prepared mesostructured dye/composite films along with the difference absorption spectrum for the guest spiropyran  
30       thermochrome.

A wide range of mesostructured film compositions and various optically responsive moieties can be employed to further increase this dynamic change in refractive index. These include: incorporating photochromic surfactants, functionalizing the inorganic network to enhance dye loading, and incorporating  
5 multi-photon absorbing chromophores. Furthermore, orientational ordering of these species in aligned mesostructured films can lead to enhanced optical sensitivity and larger changes in  $\Delta n$ . See Figure 56.

The desirability of avoiding appreciable absorption in the visible regime means that, in addition to UV-absorbing dyes, agents that absorb at near-infrared  
10 wavelengths can also be used to induce optically responsive refractive index changes in self-assembled composite lenses. See Figure 57. Closely related to the development of near-UV-absorbing large- $\Delta n$  materials, we similarly incorporate near-infrared (NIR) chromophores, such as cyanines, polyenes, annulenes, and porphyrins, into mesoscopically ordered processable,  
15 self-assembled inorganic/block-copolymer composites and mesoporous solids. In particular, p-conjugated NIR dyes with low symmetry and strong, separated donor-acceptor moieties often display intramolecular charge migration upon excitation. Analogous to the UV-absorbing spiropyran system described above, NIR-induced charge-transfer leads to changes in the dipole moment of the  
20 chromophores which are manifested as changes in refractive index. Modified donor-acceptor polyenes (e.g., meropolymethines and charged polymethines) and zwitterionic *N*-pyridinium phenolates are particularly useful for displaying near-IR-induced excited-state charge separation with large resultant changes in the refractive indices of bulk  $\text{EO}_{106}\text{PO}_{70}\text{EO}_{106}$ /silica/NIR dye materials. Based on  
25 the absorption and charge-transfer properties of near-IR chromophores, NIR-induced changes in  $\Delta n$  are comparable to those demonstrated for near-UV-absorbing spiropyrans above. Both steady-state (i.e., long-lived, metastable charge separation) and phase-sensitive strategies can be used to dynamically vary the bulk refractive indices of these NIR guest/host systems in  
30 mesostructured films, fibers, and monoliths.

The development of mesostructured block-copolymer/silica composite lenses containing charge-transfer near-UV- or near-IR-absorbing species provides versatility and breadth of wavelength coverage to allow different regions of the spectrum to be utilized. As indicated in above, the hydrophobic dye molecules preferentially associate with the hydrophobic regions of the composites. The resulting dye-containing inorganic-organic mesophases combine many of the otherwise mutually exclusive properties of separate inorganic and polymer host matrices. As a result and as discussed above, the dye species can be introduced homogeneously into the mesostructured composites in much higher concentrations than in inorganic glass matrices alone, providing significantly greater sensitivity and substantially higher refractive index changes. Furthermore, the internal pore surface properties of mesostructured materials can be modified to introduce functional species with desirable optical and/or surface properties. Such species may be charged ions, metal clusters, or various framework moieties covalently bonded to the mesopore channel walls, which can act as optical response agents or selective adsorption sites within the mesopore channels to shorten response times or modify the optical absorption properties. We have achieved notable successes in functionalizing ordered mesoporous inorganic solids. Resultant wavelength-sensitive large- $n$  properties are adjustable, according to specific applications criteria or selectivity considerations. Multifunctional and/or multiwavelength responsive materials are also feasible.

Although preferred embodiments of the invention have been illustrated in the accompanying Drawings and described in the foregoing Detailed Description, it will be understood that the invention is not limited to the embodiments disclosed but is capable of numerous rearrangements, modifications, and substitutions of parts and elements without departing from the spirit of the invention.

## THE CLAIMS

1. A method of forming a mesoscopically structured material having a dynamic change in refractive index comprising the steps of:

5

combining an amphiphilic block copolymer that functions as a structure-directing agent with an inorganic compound of a multivalent metal species whereby the block copolymer and inorganic compound are self-assembled and the inorganic compound is polymerized to form a mesoscopically structured inorganic-organic composite; and

10

at least partially filling the resulting mesoscopically structured inorganic-organic composite with a material having a dipole moment that is variable responsive to a predetermined stimulus.

15

2. The method according to claim 1 wherein the material having a variable refractive index is responsive to a stimulus comprising an optical field.

3. The method according to claim 1 wherein the material having a variable refractive index is responsive to a stimulus comprising an electric field.

20

4. The method according to claim 1 wherein the material having a variable refractive index is responsive to a stimulus comprising a thermal field.

5. The method according to claim 1 wherein the material having a variable dipole moment is selected from the group consisting of conjugated organic molecules, polycyclic aromatics, and azobenzenes.

25

6. The method according to claim 1 wherein the material having a variable dipole moment comprises an organic dye.

30

7. The method according to claim 6 wherein the organic dye comprises a material selected from the group consisting of spiropyrans and spirooxazines.

5           8. The method according to claim 1 wherein the material having a variable dipole moment comprises a photocrome.

9. The method according to claim 1 wherein the material having a variable dipole moment comprises a photochromic surfactant.

10

10. The method according to claim 1 wherein the material having a variable dipole moment comprises a multi-photon absorbing chromophore.

11. The method according to claim 1 wherein the material having a  
15 variable dipole moment comprises a near-infrared chromophore selected from the group consisting of cyanines, polyenes, annulenes, and porphyrins.

12. The method according to claim 1 wherein the material having a variable dipole moment comprises a p-conjugated near-infrared dye.

20

13. The method according to claim 1 wherein the material having a variable dipole moment comprises a donor-acceptor polyene selected from the group consisting of meropolymethines and charged polymethines.

25           14. The method according to claim 1 wherein the material having a variable dipole moment comprises a zwitterionic N-pyridinium phenolate.



15. A method of forming a lens having a variable refractive index comprising the steps of:

5 combining an amphiphilic block copolymer that functions as a structure-directing agent with an inorganic compound of a multivalent metal species whereby the block copolymer and inorganic compound are self-assembled and the inorganic compound is polymerized to form a mesoscopically structured inorganic-organic composite;

10 at least partially filling the resulting mesoscopically structured inorganic-organic composite with a material having a dipole moment that is variable responsive to a predetermined stimulus; and

forming the mesoscopically structured inorganic-organic composite having  
15 the stimulus responsive variable refractive index material therein into a lens.

16. The method according to claim 15 wherein the material having a variable refractive index is responsive to a stimulus comprising an optical field.

20 17. The method according to claim 15 wherein the material having a variable refractive index is responsive to a stimulus comprising an electric field.

18. The method according to claim 15 wherein the material having a variable refractive index is responsive to a stimulus comprising a thermal field.

25

19. The method according to claim 15 wherein the material having a variable dipole moment is selected from the group consisting of conjugated organic molecules, polycyclic aromatics, and azobenzenes.

20. The method according to claim 15 wherein the material having variable dipole moment comprises an organic dye.

21. The method according to claim 20 wherein the organic dye  
5 comprises a material selected from the group consisting of spiropyrans and spirooxazines.

22. The method according to claim 15 wherein the material having a  
variable dipole moment comprises a photocrome.

10

23. The method according to claim 15 wherein the material having a variable dipole moment comprises a photochromic surfactant.

24. The method according to claim 15 wherein the material having a  
15 variable dipole moment comprises a multi-photon absorbing chromophore.

25. The method according to claim 15 wherein the material having a variable dipole moment comprises a near-infrared chromophore selected from the group consisting of cyanines, polyenes, annulenes, and porphyrins.

20

26. The method according to claim 15 wherein the material having a variable dipole moment comprises a p-conjugated near-infrared dye.

27. The method according to claim 15 wherein the material having a  
25 variable dipole moment comprises a donor-acceptor polyene selected from the group consisting of meropolymethines and charged polymethines.

28. The method according to claim 15 wherein the material having a variable dipole moment comprises a zwitterionic N-pyridinium phenolate.

30

29. A method of forming a mesoscopically structured material having a dynamic change in refractive index comprising the steps of:

5 combining an amphiphilic block copolymer that functions as a structure-directing agent with an inorganic compound of a multivalent metal species whereby the block copolymer and inorganic compound are self-assembled and the inorganic compound is polymerized to form a mesoscopically structured inorganic-organic film; and

10 at least partially filling the resulting mesoscopically structured inorganic-organic composite with a material having a dipole moment that is variable responsive to a predetermined stimulus.

30. The method according to claim 29 wherein the material having a  
15 variable refractive index is responsive to a stimulus comprising an optical field.

31. The method according to claim 29 wherein the material having a variable refractive index is responsive to a stimulus comprising an electric field.

20 32. The method according to claim 29 wherein the material having a variable refractive index is responsive to a stimulus comprising a thermal field.

33. The method according to claim 29 wherein the material having a  
25 variable dipole moment is selected from the group consisting of conjugated organic molecules, polycyclic aromatics, and azobenzenes.

34. The method according to claim 29 wherein the material having variable dipole moment comprises an organic dye.

35. The method according to claim 34 wherein the organic dye comprises a material selected from the group consisting of spiropyrans and spirooxazines.

5           36. The method according to claim 29 wherein the material having a variable dipole moment comprises a photo crome.

37. The method according to claim 29 wherein the material having a variable dipole moment comprises a photochromic surfactant.

10

38. The method according to claim 29 wherein the material having a variable dipole moment comprises a multi-photon absorbing chromophore.

39. The method according to claim 29 wherein the material having a  
15 variable dipole moment comprises a near-infrared chromophore selected from the group consisting of cyanines, polyenes, annulenes, and porphyrins.

40. The method according to claim 29 wherein the material having a variable dipole moment comprises a p-conjugated near-infrared dye.

20

41. The method according to claim 29 wherein the material having a variable dipole moment comprises a donor-acceptor polyene selected from the group consisting of meropolymethines and charged polymethines.

25           42. The method according to claim 29 wherein the material having a variable dipole moment comprises a zwitterionic N-pyridinium phenolate.

43. A method of forming a mesoscopically structured material having a dynamic change in refractive index comprising the steps of:

5 combining an amphiphilic block copolymer that functions as a structure-directing agent with an inorganic compound of a multivalent metal species whereby the block copolymer and inorganic compound are self-assembled and the inorganic compound is polymerized to form a mesoscopically structured inorganic-organic fiber; and

10 at least partially filling the resulting mesoscopically structured inorganic-organic composite with a material having a dipole moment that is variable responsive to a predetermined stimulus.

44. The method according to claim 43 wherein the material having a  
15 variable refractive index is responsive to a stimulus comprising an optical field.

45. The method according to claim 43 wherein the material having a variable refractive index is responsive to a stimulus comprising an electric field.

20 46. The method according to claim 43 wherein the material having a variable refractive index is responsive to a stimulus comprising a thermal field.

47. The method according to claim 43 wherein the material having a  
25 variable dipole moment is selected from the group consisting of conjugated organic molecules, polycyclic aromatics, and azobenzenes.

48. The method according to claim 43 wherein the material having variable dipole moment comprises an organic dye.

49. The method according to claim 48 wherein the organic dye comprises a material selected from the group consisting of spiropyrans and spirooxazines.

5 50. The method according to claim 43 wherein the material having a variable dipole moment comprises a photo crome.

51. The method according to claim 43 wherein the material having a variable dipole moment comprises a photochromic surfactant.

10

52. The method according to claim 43 wherein the material having a variable dipole moment comprises a multi-photon absorbing chromophore.

53. The method according to claim 43 wherein the material having a variable dipole moment comprises a near-infrared chromophore selected from the group consisting of cyanines, polyenes, annulenes, and porphyrins.

15

54. The method according to claim 43 wherein the material having a variable dipole moment comprises a p-conjugated near-infrared dye.

20

55. The method according to claim 43 wherein the material having a variable dipole moment comprises a donor-acceptor polyene selected from the group consisting of meropolymethines and charged polymethines.

25 56. The method according to claim 43 wherein the material having a variable dipole moment comprises a zwitterionic N-pyridinium phenolate.

**BLOCK POLYMER PROCESSING FOR MESOSTRUCTURED INORGANIC  
OXIDE MATERIALS**

**5 ABSTRACT OF THE DISCLOSURE**

Mesoscopic, hydrothermally stable metal oxide-block copolymer composites formed by combining structure directing amphiphilic block copolymers with inorganic compounds of multivalent metal species whereby the inorganic compounds polymerize and self-assemble with the block copolymers, forming  
10 mesoscopic composites; and at least partially filling the mesoscopic composites with a material having a dipole moment variably responsive to a predetermined stimulus; used in catalytic, sorption, catalysis, separation, optoelectronics, and other applications; whereby a mesoporous silica is formed at 35-80°C by dissolving 4.0 g Pluronic P123 (PEO<sub>20</sub> PPO<sub>70</sub> PEO<sub>20</sub>) in 30 g water and 120 g 2M  
15 HCl solution, stirring at 35° C; adding 8.50 g TEOS; stirring at 35° C for 22 h; aging at 100° C for 24 h; filtering, washing, and air-drying at room temperature; increasing from room temperature to 500° C over 8 h; and heating at 500° C for 6 h, such reaction varying depending on the composite being formed.